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## NAVAL POSTGRADUATE SCHOOL

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### **THESIS**

THE EFFECT OF SCATTERING AND ABSORPTION
ON NOISE FROM A CAVITATING NOISE SOURCE
IN THE SUBSURFACE OCEAN LAYER

by

Yngvar Dag Tronstad

June 1981

Thesis Advisor:

K. E. Woehler

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The Effect of Scattering and Absorption on Noise from a Cavitating Noise Source in the Subsurface Ocean Layer

by

Yngvar Dag Tronstad Commander, Norwegian Navy Marine Engineer, Norwegian Naval Academy, 1969

Submitted in partial fulfillment of the requirements for the degree of

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Author	Magrae D. Bronstad.
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Approved by:	Thesis Advisor
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#### ABSTRACT

When investigating the detection performance of a passive homing torpedo used against shallow draft surface ships, certain environmental factors such as the rough sea surface and the bubble-dominated inhomogeneous layer near the sea surface have to be considered. This thesis attempts to gain some insight into the behavior of a homing torpedo system during its critical attack phase, as well as getting some indications of the relative importance of the scattering mechanisms and the induced tactical limitations. An idealized propagation model was used as reference of comparison. For a given sea state and target speed the results stress the importance of low operating frequency as well as a high maximum turn rate. They also point to the importance of having a search depth below the bubble-dominated subsurface layer, and a variable speed capability during the torpedo's attack phase.

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#### I. INTRODUCTION

The following analysis examines several factors that limit the detection performance of a passive homing torpedo with the mission objective of countering shallow-draft targets in Norwegian coastal waters. Generally, these factors can be divided into three main groups:

- -Environmental factors in the ocean
- -Electrical mechanical and hydrodynamical factors in the torpedo system
- -The users tactical situation

The factors that are generated in the ocean itself are the principal subject of this analysis. As our interest is confined to the layer immediately below the surface of the ocean, later called the subsurface ocean layer, the main factors affecting the sound propagation are:

- -Scattering and absorption due to the bubble-dominated inhomogeneous subsurface ocean layer.
- -Scattering from the rough sea surface

  The concentration of air bubbles and the roughness of the sea surface are determined by the windspeed. The effectiveness of both these scattering mechanisms depends on the frequency of the incident wave and the geometry of the source and receiver. The following analysis is limited to

high frequencies in the region of 30-60 kHz which are characteristic of existing torpedo systems. At high frequency and low grazing angles for the incident and received signals, the phenomena of "shadowing" of the surface by other parts of the boundary occurs. Under these conditions, the effect from the inhomogeneous subsurface ocean layer becomes increasingly important. Obviously both the above mentioned scattering mechanisms are present simultaneously. Often these two effects cannot be resolved either theoretically or experimentally, as any signal with a finite duration will be scattered from the space near the surface simultaneously with that from the sea surface itself.

In order to adequately describe the scattering mechanisms, this analysis starts with a presentation of the oceanographic background material for:

- -Typical windspeed and wave height
- -Typical sound speed profiles
- -Density and distribution of air bubbles in the subsurface layer
- -Statistical description of the sea surface

  The analysis proceeds by separately estimating the effect

  of:
  - -Scattering from a randomly rough surface
  - -Scattering and absorption caused by an inhomogeneous subsurface layer,

and comparing their relative importance. The method employed for these estimations is an approximation that is a combination of both ray and wave theories. Ray methods are used to follow the acoustic signal from its source to the vicinity of the scatterer. Wave theory is used to calculate the actual scattering process. Finally, ray theory is again used to follow the scattered signal to the receiver.

An idealized propagation model consisting of an isotropic stratified medium will be used as reference of comparison. This model is founded on:

- -A noise source from a cavitating propeller blade.
- -The operational characteristics for a square law detector (ROC-curves).
- -A transmission loss model based on geometrical spreading and absorption losses in homogeneous sea water.

In this analysis, the passive sonar equation is used to predict the performance of the homing system. The detection range encountering the two scattering effects will be obtained from the sonar equation and compared to the detection range based on the reference model. Thus, the difference in ranges at which the homing device just acquires the target with and without scattering is a measure of efficiency.

#### II. SCENARIO AND TORPEDO RUN GEOMETRY

In the Norwegian coastal waters, the primary mission of a torpedo system is to counter an amphibious force consisting of escorts, supply ships, and shallow-draft landing crafts. Typical characteristics of these three ship types are as follows:

#### Supply ships:

- -Displacement 5000 tons
- -Length 100 m.
- -Draft 6 m.
- -Speed 15-20 kts.

#### Escort ships:

- -Displacement 2000-3000 tons
- -Length 85 m.
- -Draft 3 m.
- -Speed 35 kts.

#### Landing craft:

- -Displacement 1000 tons
- -Length 80 m.
- -Draft 2 m.
- -Speed 18 kts.

In order to simplify this analysis, moderate sea states (SS 3) are assumed. Since "moderate" wave heights of two meters

are appreciable when compared with the two-meters draft of the landing craft, the possibility of an acoustic torpedo impacting the target at a depth of two meters is very remote without the use of an influence exploder.

Two relevant search and attack schemes will be considered. These are illustrated in Fig. 1 together with the operation of the influence exploder. From target validation to completion of terminal attack, the torpedo continuously tracks in the azimuth plane. In Case A, ascent is inhibited after enable. For Case B, ascent is inhibited after the torpedo reaches terminal attack depth.

An assumed attack depth of six meters is consistent with the activation range of influence exploders and is deep enough to preclude wave or "free surface" induced disturbances of the torpedo. Success of the attack depends primarily on the availability of maintaining azimuth-plane steering to within a short horizontal range of the target, and the subsequent operation of the influence exploder.

Case A is of particular interest to this analysis, as both the search and attack-depth are within the subsurface layer.

#### III. OCEANOGRAPHIC BACKGROUND MATERIAL

The oceanographic background for predicting typical and extreme conditions of

- -wind speed
- -wave heights
- -bubble densities and distributions
- -ambient noise versus self noise
- -sound speed profiles

are outlined in detail in Appendix A. Even though most data have beneral validity for Norwegian coastal waters, the region above 68°N are of particular interest. Thus, a typical area combining open as well as confined waters can be represented by "Andfjord" at 70°N, where the oceanographic conditions can be related to the weather station "Andenes," see Fig. 2.

Figures 3 and 4 [Ref. 1] show average windspeed and the occurrence of significant wave heights as a function of time of year at weather station "Andenes," respectively. The bulk of data is centered around a windspeed of Beaufort: 4-5 (11-21 kts) and SS: 3-4 (significant wave heights: 1-2 m). Table I gives the relationship between SS, windspeed and expected significant wave heights.

TABLE I

RELATIONSHIP BETWEEN SEA STATE (SS), WIND SPEED,
AND EXPECTED SIGNIFICANT WAVE HEIGHTS (Hg)

Class Number	Significant Wave Height in m	Beaufort Scale
SS	H <sub>s</sub>	
0	0	0
1	0 - 0.1	1
2	0.1 - 0.5	2
3	0.5 - 1.25	3-4
4	1.25 - 2.5	5
5	2.5 - 4.0	6-7
6	4.0 - 6.0	8
7	6.0 - 9.0	9-10
8	9.0 - 14.0	11
9	>14	12

This, together with the low probability of having an amnibious operation occurring in high sea states (SS>5) justifies the assumption of moderate sea state with windspeed in the region of 12 kts and wave heights of 2 m.

The bubble data distribution taken from Ref. 2 was obtained in the area "Tromsö" - "Björnöya" during the period June-November 1978. These data correlate very well with a larger body of data obtained by H. Medwin [Ref. 3].

Figures 5, 6 and 7 [Ref. 2] show the density of resonant bubbles as a function of depth with windspeed as parameter for the 12,38 and 120 kHz. As seen from these figures, the number of resonant bubbles are an increasing function of frequency and windspeed, and a decreasing function of depth. Below a depth of approximately 15 m, the number of bubbles is negligible for the windspeed of interest.

The effect of SS (windforce) on the ambient noise level is given in Fig. 8 [Ref. 4]. Shallow coastal Norwegian waters are typically 5-10 dB noisier than the corresponding deep water. However, great variability caused by local ship traffic, fishing fleet activity, marine life and local wind conditions makes ambient noise prediction difficult in these areas. This means that for accurate modeling, ambient noise prediction have to be done at each location as its level is both site and time dependent. However, Fig. 8 shows that for frequencies higher than 50 kHz, the

effect of windforce on the ambient noise level decreases to a lower bound determined by the thermal agitation.

Based on the above discussion and experience related to noise levels for torpedo systems, the self noise will be assumed to be dominant through this analysis.

Figure 9, obtained from Ref. 5, shows that the sound speed profiles usually encountered in the area of interest results in extremely difficult sonar conditions. This is illustrated in Figs. 10 and 11, which show worst-case ray path derived from Fig. 9. In addition, the presence of bubbles in the subsurface layer causes the sound speed to be a function of frequency. The above two factors may frequently be the ones limiting the detection range of the torpedo. These effects can be minimized by selecting an appropriate search depth for a particular sound speed profile. In addition, for Case B the corresponding curved homing trajectories in the pitch plane give an error in apparent range to target. This effect will normally be taken into account by devising appropriate attack logic which is outside the scope of the present analysis.

#### IV. THE PASSIVE SONAR EQUATION

A measure of efficiency for a passive homing torpedo is the detection range obtained by solving the passive sonar equation for broadband noise:

 $SL+10logB-TL(geom)-\alpha R+DI-NL-DT=0$  (1)

where

- SL = spectral level of the broadband noise
   radiated by the target (in dB re luPa/lHz at
   lm).
- R = detection range (in m).
- $\alpha$  = attenuation coefficient at the center frequency (in dB/m).
- DI = receiving sensitivity (directivity index)
  (in dB re lµPa).
- NL = noise level at the receiver in the bandwidth B (in dB re  $l_{\mu}Pa$ ).
- DT = detection threshold; the signal to noise ratio at the transducer output required for a detection probability of  $p_D$  and associated false alarm probability  $p_{FA}$  (in dB).

#### V. REFERENCE MODEL

#### A. INTRODUCTION

In order to produce the reference for the analysis the sonar equation is solved assuming ideal free-field conditions, a simple noise source model, and a generalized square-law detector.

#### B. IDEALIZER TRANSMISSION LOSS MODEL

Because the presence of refraction, scattering, and of ocean boundaries, free-field conditions associated with homogeneous (isovelocity) and unbounded medium seldom exist in the sea. However, as a basis for comparison, the ubigutuous spherical spreading law plus an added loss term due to "normal absorption" can be used as a reference model for measuring the effects of the previous mentioned scattering and absorption mechanisms. Thus, the reference transmission loss model can be expressed as:

TL=20logR +  $\alpha R$  (2) where the absorption coefficient, expressed in dB/m, can be obtained from Fig. 14 taken from Ref. 8.

#### C. NOISE SOURCE MODEL

#### 1. General Characteristics of Noise Sources

Sound is generated in a fluid medium by any process that causes an unsteady pressure field. Physically processes that can cause an unsteady pressure field include:

- -Pulsation of a boundary surface of the medium
- -The action of a nonsteady source on the fluid
- -Turbulent motion in the fluid
- -Oscillatory temperatures

It can be shown, e.g., Ref. 10, that each source mechanism mathematically corresponds to a dominant order of multipole. If all sources are of such a nature that their time variation can be described by a Fourier Integral, it can be shown [Ref. 10] that the Helmholtz Equation is

$$\nabla^{2} \mathbf{p}_{\omega}(\mathbf{x}) + \frac{\omega^{2}}{C^{2}} \mathbf{p}_{\omega}(\mathbf{x}) = -4\pi \mathbf{f}_{\omega}(\mathbf{x})$$

$$= \frac{\partial \mathbf{Q}_{\omega}(\mathbf{x})}{\partial \mathbf{t}} + \nabla \cdot \mathbf{F}_{\omega}(\mathbf{x}) - \frac{\partial^{2} \mathbf{T}_{\omega \mathbf{i} \mathbf{j}}}{\partial \mathbf{x}_{\mathbf{i}} \partial \mathbf{x}_{\mathbf{j}}}$$
(3)

Term 1 Term 2 Term 3

where the right hand side describes distributed source terms.

The terms on the right hand side of Eq. (3) have the following interpretations:

Term 1: mass injection

Term 2: external force

Term 3: turbulent shear stress

In the long distance and long wavelength approximation, it can be shown that the mass injection term gives rise to a simple source; a zero order pole called a monopole. The monopole radiates omnidirectional with no angular dependence. At large distances the pressure field from the monopole radiation is that of a point source. Examples of this are:

-Pulsating bubbles

-Cavitation

The external force, in the long distance and long wave length approximation, is associated with a dominant dipole which has a cosine directional pattern. Examples of this type of radiation is that caused by the vibratory motion of an unbaffled rigid body.

Radiation from turbulent shear stresses is characterized by a lowest order term of quadrupole nature.

The efficiency of the source terms decreases with increasing dependence on the spatial derivatives. This can be understood when recognizing that wave functions of the general form f(x-ct) have a time derivative

$$\left|\frac{\partial}{\partial t}f(x-ct)\right| = cf_t(x-ct)$$
 (4)

which is magnitude c (sound speed) greater than the spatial derivative

$$\left|\frac{\partial}{\partial \mathbf{x}}\mathbf{f}\left(\mathbf{x}\text{-ct}\right)\right| = \mathbf{f}_{\mathbf{x}}\left(\mathbf{x}\text{-ct}\right)$$
 (5)

Other factors being equal, the radiation from an external force is small compared to that from mass injection, and that from turbulent shear stress is the smallest; therefore, monopole radiation is the dominant term.

Propeller cavitation, when it occurs, is usually the dominant noise source for any marine vessel. Submarine and torpedoes often operate at a depth great enough to avoid cavitation. Surface ships, on the other hand, generally have well developed propeller cavitation with the result that their radiated spectrum from 5 Hz to 100 kHz is controlled by this source.

The basic phenomena of cavitation combined with propeller hydrodynamics give the fundamental characteristics of propeller cavitation noise. An excellent qualitatively discussion of this can be found in Ref. 9:Chs. 7 and 8, from which the following is extracted:

Propeller blades are rotating twisted wings
that produce hydrodynamic forces. Depending on operating
conditions, they experience cavitation on a number of
different places. Of these there are three prominent types:

- -Tip vortex cavitation
- -Hub vortex cavitation
- -Surface blade cavitation

In addition to the two types of vortex cavitation, there normally are two types of blade surface cavitation:

-Back: driving face

-Front: suction surface

Of all kinds of propeller cavitation, surface blade cavitation on the suction surface is normally the most noisy, while hub vortex cavitation is the least noisy.

#### 2. The Noise Source Model

Due to lack of recorded and available noise data from the target in question, the noise source has been generalized on the basis of the following discussion and assumptions:

The noise source will be build up around a surface blade cavitating propeller operating in a good to poor wake; surface cavitation will be assumed to be dominant.

D. Ross [Ref. 9] has developed an approximate theory for cavitation noise, where dimensional analysis is combined with the basic results of cavitation theory that the acoustic pressure is proportional to the product of the collapse pressure of the cavities and the volume of cavitation produced per unit time. From this synthesis it is found that the total acoustic intensity varies as:

$$I \approx K_{ti} \frac{p_0 bsD(U_{ti})^3}{r^2} \left[ \left( \frac{U_t}{U_{ti}} \right) \left( \frac{U_t}{U_{ti}} - 1 \right)^2 \right]$$
 (6)

where

r = distance of the hydrophone from the source
K<sub>ti</sub> = the cavitation inception parameter

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 $U_{+}$  = blade tip speed

Uti = blade tip speed for inception of cavitation

This expression shows that propeller cavitation noise power is proportional to the total number of blades, b, the blade chord, s, and to the propeller diameter, D, and is a function of the tip speed with the dependence on the tip speed being the strongest. The different blade surface sections where cavitation exist are uncorrelated and the radiated noise is treated as a single monopole radiation so that at a distance r>>a (where a is the characteristic dimension on the source region) the radiation is similar to that of a point source with no angular dependence.

Submarines and torpedoes with centerline propellers have a relatively symmetric inflow condition. Surface ship propellers, in contrast, operate under nonuniform inflow conditions. Circumferential wake variation causes the radiated sound to be amplitude modulated at the blade rate frequency. Furthermore, slight physical difference between the blades produces modulation at the shaft rate frequency. These amplitude variations gives a very distinct characteristic to the radiated noise that can be used for classification purposes to reduce the probability of false alarm.

The most complete source of data on surface ship radiated noise are measurements made during WWII, reported

in a compendium issued by the U.S. Office of Scientific Research and Development (OSRD) in 1945 and declassified in 1960. When these data are examined the radiated noise is found to depend on tip speed and the number of propeller blades with little dependence on the other variables. For surface ships near cruise speed, the source level for frequency over 100 Hz can be written as:

$$SL=SL'+20-20logf; f>100 Hz$$
 (7)

where

f = frequency in Hz

SL' = overall source level in dB re luPa.

The overall level can be expressed as:

$$SL'=175+60\log U / 25 + 10\log b / 4$$
 (8)

where

$$U = \pi n D \tag{9}$$

n = rotational speed (rpm)

D = diameter of the propeller (m).

The above expressions are used as the basis for the noise model with the following input data:

n = 300 rpm for maximum cruise speed of 15 kts.

n = 180 rpm for a cruise speed of 10 kts.

D = 2 m.

b = 5.

The resulting noise spectrum, in dB re  $1\mu$ Pa at 1 m, as a function of speed in kts. are tabulated in Table II.

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TABLE II

SOURCE LEVEL DATA AND CALCULATION FOR A BLADE SURFACE CAVITATING PROPELLER

Rotational Frequency	Diameter of Propeller	Tip Speed	Advance Speed	Overall Level	~
ď	Q	u <sup>t</sup>	n	SL	SL
rpm s_T	E	s/m	m/s	dB re lµPa	dB re lµPa
300 5	7	31.4	15	182	106.0
180 3	2	18.9	10	168.6	93.0

and plotted in Fig. 15. A one sigma region (5 dB of uncertainty) is incorporated in Fig. 15.

The above noise spectrum estimation agrees very well in the high frequency limit, with more recent studies by A. Lövik [Refs. 11 and 12]. Here it is found that the cavitation spectra, both theoretically and experimentally, can be divided into four frequency regions, as illustrated in Fig. 16.

Region I is dominated by noise at the blade frequency and its harmonics. The emitted sound is caused by the volume variation of the main cavity.

Region II starts at the bubble frequency, which is the reciprocal of the lifetime of the main cavity. The mean power level is found to decrease with increasing frequency as  $f^{-2.5}$ .

Region III is an inter ... ate region.

Region IV associated with the shock waves starts at the mean collapse frequency  $f_{\rm C}$ , given by the mean collapse time. The power level is found to decrease as  $f^{-2}$ , as in Eq. 5.

The number of gas bubbles in the water have a pronounced effect on the high frequency cavitation noise from the propeller. This is illustrated in Fig. 17, obtained from Ref. 12, where the power is found to decrease as much as 40 dB with increasing gas content.

Scaling laws are developed [Ref. 12] for each region based on a series of models and full scale measurements. These laws depend on the dynamic pressure induced by the propeller, the model ratio, and the gas content of the water. The full scale measurements were performed in cooperation with the Royal Norwegian Navy and the Marine Institute of Norway. The model experiments were performed in the largest cavitation tunnel at the Ship Research Institute of Norway.

In summary, the scaling of cavitation noise was demonstrated to be a useful tool in predicting a full scale cavitation noise as shown in Fig. 18 [Ref. 12] which compares measured noise spectra for the model and full scale measurements.

For the high frequency region, the source levels are of the same magnitude as predicted by the WWII empirical formula.

#### D. PASSIVE MODE RECEIVER CHARACTERISTICS

#### 1. Assumptions

For receiver characteristics assume a square law detector with a center frequency f-60 or 30 kHz and a bandwidth B. The detection scheme is shown in Fig. 16. The principal assumptions employed in the derivation are as follows:

- -Gaussian signals in Gaussian noise
- -Frequency independent signal and noise spectra

-Integration time T is sufficiently long to permit application of the central limit theorem.

#### 2. Derivations

The detector input r(t) is assumed to be a zeromean Gaussian process composed of noise alone or signal plus noise expressed by the two well known hypotheses

$$H_{O}r(t) = n(t)$$
 (10)  
 $H_{I}r(t) = s(t)+n(t)$ 

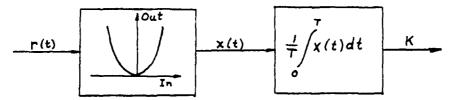
where:

n(t): noise signal

s(t): signal.

The two signals s(t) and n(t) are assumed to be independent.

Assume that the spectral shape of s(t) and n(t) are the same, such that  $H_0$  and  $H_1$  only differ in the total power level. Then the detector-smoother have the form:



Schematic of detector-smoother

and

$$x(t) = r^2(t) \tag{11}$$

Furthermore, let the noise variance be normalized to unity (for convenience) and the signal variance by denoted by  $\sigma^2$ 

$$Var[n(t)]=1$$

$$Var[s(t)]=\sigma^{2}$$
(12)

Because of the assumed similarity in the spectral shapes, the autocorrelation functions are

 $R_n(\tau) = F^{-1}[N(f)] = \rho(\tau); N(f)$  is the noise power (13) spectral density.

$$R_{s}(\tau) = \rho(\tau) \sigma^{2}$$

$$R_{n}(\tau) = H_{o} \rho(\tau)$$

$$H_{1} (1+\sigma^{2}) \rho(\tau)$$

Furthermore, assume that the integration time T is long enough so the central limit theorem holds, implying that K also is a Gaussian random variable.

This yields that the probability density function of the output variable and hence the detection and false alarm probabilities are completely determined once the mean and the variance of K are derived.

If a process V(t) is wide-sense stationary, then

$$E[V(t)] = E_{\overline{T}}^{1} \int_{0}^{T} V(t) dt = \frac{1}{T} \int_{0}^{T} E[V(t)] = v \text{ (constant)}$$
 (14)

Thus, assuming that r(t) is a wide-sense stationary process.

$$E[K]=E[x(t)]=E[r(t)]=1+\sigma^{2}$$
 (15)

and similarly

$$Var[V] = E[V^2] - \{E[V]\}^2 = \frac{1}{T^2} \iint_{00}^{TT} E[V(t)V(s)] dtds - v^2 \quad (16)$$

$$Var[V] = \frac{1}{T^2} \iint_{00} [R_V(t-s) - v^2] dtds = \frac{1}{T^2} \iint_{00} Cov_V(t-s) dtds$$

where  $Cov_{_{\mathbf{V}}}(\mathsf{t}\mathsf{-s})$  is a covariance function. Then letting

$$\tau = t-s$$

 $\zeta = t+s$ , and substituting into Eq. (7) yields

$$\operatorname{Var}[V] = \frac{1}{T^{2}} / \operatorname{Cov}_{V}(\tau) \frac{d\zeta d\tau}{2}$$

$$\operatorname{Var}[V] = \frac{1}{T} / \operatorname{T}[1 - \frac{|\tau|}{T}] \operatorname{Cov}_{V}(\tau) d\tau$$
(17)

Consequently

$$\operatorname{Var}[K] = \frac{1}{T} \int_{-T}^{T} [1 - \frac{|\tau|}{T}] \operatorname{Cov}_{v}(\tau) d\tau$$
 (18)

Then, evaluating the covariance function from the autocorrelation function

$$R_{x}(\tau) = E[x(t)x(t-\tau)] = E[r^{2}(t)r^{2}(t-\tau)]$$
 (19)

Since r(t) is Gaussian, the above fourth moment can be expressed as product and sums of second moments:

$$R_{x}(\tau) = r^{2}(t) \cdot r^{2}(t+\tau) + 2r(t)r(t-\tau) \cdot r(t)r(t-\tau)$$

$$= R_{r}^{2}(0) + 2R_{r}^{2}(\tau)$$

$$R_{_{\mathbf{Y}}}(\tau) = (1+\sigma^2)^2 + 2(1+\sigma^2)^2 \rho^2(\tau)$$
 (20)

Thus, the covariance function is

$$Cov_{x}(\tau) = R_{x}(\tau) - \{E[x(t)]\}^{2}$$
  
=  $(1+\sigma^{2})^{2} + 2(1+\sigma^{2})\rho^{2}(\tau) - (1+\sigma^{2})^{2}$ 

$$Cov_{x}(\tau) = 2(1+\sigma^{2})^{2}\rho^{2}(\tau)$$
 (21)

Inserting Eq. (12) into Eq. (9) yields

$$Var[K] = \frac{1}{T} \int_{-T}^{T} \{ [1 - \frac{|\tau|}{T}] 2 (1 + \sigma^{2})^{2} \rho^{2} (\tau) \} d\tau$$

$$Var[K] = \frac{2(1+\sigma^2)^2}{T} \int_{-T}^{T} [1-\frac{|\tau|}{T}]^{-\rho^2(\tau)} d\tau$$
 (22)

If T is large compared to the correlation time TB>>1

then we can substitute the limit for Eq. (22) by

$$Var[K] = \frac{2(1+\sigma^{2})^{2}}{T} \int_{-\infty}^{\infty} \rho^{2}(\tau) d\tau$$

$$= \frac{2(1+\sigma^{2})^{2}}{T} \int_{-\infty}^{\infty} N^{2}(f) df$$
(23)

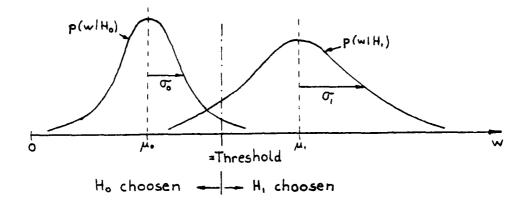
If we further make the assumption that the signal and noise have ideal flat bandpass spectra:

$$N(f) = \begin{cases} 1/2B, f-B/2 < f < f + b/2 \\ 0, otherwise, \end{cases}$$
 (24)

Inserting Eq. (24) in Eq. (23) yields

$$Var[K] = \frac{(1+\sigma^2)^2}{BT}$$
 (25)

The probability density function for the output of the detector have the following form



Here w is the outcome of all possible signals. The false alarm probability is obtained by integrating the conditional probability  $p(w|H_{O})$  over the outcome space for which to choose  $H_{1}$ .

$$p_{FA} = \int_{j}^{\infty} p(w|H_{O}) dw = Q(\frac{j-\mu_{O}}{\sigma_{O}})$$
 (26)

Similarity, the miss probability=1-detection probability is obtained by integrating the conditional probability  $p(w|H_1)$  over the outcome space for which to choose  $H_0$ .

$$p_{M} = 1 - p_{D} = \int_{-\infty}^{j} p(w|H_{1}) dw = Q(\frac{\mu_{1} - j}{\sigma_{1}})$$
 (27)

Further defining the input and output signal-to-noise ratios as

$$S/N(input) = \frac{\sigma^2}{1} = \sigma^2$$
 (28)

S/N(output) = 
$$\frac{(\mu_1 - \mu_0)^2}{\sigma_1^2}$$
 (29)

For the square law detector where

$$\mu_{O} = E[n^{2}(t)] = 1, \quad \mu_{1} = E[r^{2}(t)] = E[K] = 1 + \sigma^{2}$$
 (30)  
 $\sigma_{0}^{2} = Var[n^{2}(t)] = \frac{1}{BT}, \quad \sigma_{1}^{2} = Var[r^{2}(t)]$ 

$$= Var[K] = \frac{(1 + \sigma^{2})^{2}}{BT}$$

The output signal-to-noise ratio:

S/N(output) = 
$$\frac{(1+\sigma^2-1)^2}{\left[\frac{(1+\sigma^2)^2}{BT}\right]} = \frac{BT \sigma^4}{(1+\sigma^2)^2}$$
 (31)

The input signal-to-noise ratio:

$$S/N(input) \approx \sigma^2$$
 (32)

The probability of false alarm:

$$p_{FA} = Q[\sqrt{BT}(j-1)]$$
 (33)

The probability of detection:

$$p_D = 1 - Q[\sqrt{BT} (\frac{1 + \sigma^2 - j}{1 + \sigma^2})]$$
 (34)

The Equations (32), (33), and (34) are plotted for a variety of realistically encountered conditions. Figure 17 gives BT versus S/N(in) (identical to the detection threshold DT) for various combinations of  $p_D$  and  $p_{PA}$ .

Figure 18 gives  $p_D$  versus S/N(in) for various combinations of the threshold j and  $p_{FA}$ . This constitutes the ROC-curves for the generalized square law detector.

#### E. REFERENCE DETECTION PERFORMANCE

## 1. Introduction

As both the scattering and absorption are frequency dependent, it is necessary to have reference models for both  $60\ \text{and}\ 30\ \text{kHz}$ .

## 2. 60 kHz Case

The range dependent portion of the sonar Equation (1):  $-20\log r - \alpha R$ 

is plotted in Fig. 19 for  $\alpha$ =0.021 dB/m taken from Fig. 14.

Figures 15, 17 and 19 are then used to estimate the detection range:

- (a) Select  $p_D$ ,  $p_{FA}$ , B, and the integration time T. The detection threshold DT=S/N(input) is then found from Fig. 17.
- (b) Select the speed of the target and find the SL from the noise source model (Fig. 15). Then, reasonable values for the receiver sensitivity DI and the self noise level NL yields the left hand side of the sonar equation (1) except for range dependent term.
- (c) Use Fig. 19 to solve the passive equation for R.

A realistic example may illustrate the above procedure.

(a) Entering Fig. 17 with

$$p_D = 0.5$$
 $p_{FA} = 10^{-6}$ 
 $B = 4500 \text{ Hz}$ 
 $T = 100 \text{ msec}$ 

yields.

DT = -6.5 dB.

- (b) Selecting a target speed of 12 kts. gives (from Fig. 15) SL=100 dB. Selecting a typical transducer sensitivity DI = -180 dB. Assuming the NL to be dominated by self noise of typical value NL=-124 dB. This yields SL+10logB+DI-NL-DT=87 dB.

R=1200 m. for a=0.02 dB/m and f-50 kHz. The influence of different design parameters like self noise and detection threshold on the passive detection performance is now easily investigated by the above procedure.

Although outside the main scope of this analysis, the above statement can be confirmed with an example. As seen from Fig. 18, a probability of false alarm  $p_{\rm FA}=10^{-6}$  implies a threshold setting = 0.9 dB above the noise level.

Due to the variability of the noise level together with the practical difficulty in accurately setting the threshold, a more realistic goal for the threshold would typically be 3 dB. Going into Fig. 17 shows that the corresponding value for DT for  $p_D$  = 0.5 is DT = 0 dB, with a corresponding low value for the  $p_{\rm FA}$ .

Letting DT=0 dB and keeping the previous assumed values of SL, DI, and NL yields:

SL+10logB+DI-NL-DT=80.5 dB.

The corresponding detection range is:

R = 1000 m, for  $\alpha$  = 0.02 dB/m and f = 60 kHz. Thus, this change in threshold setting caused a decrease in detection range from 1200 m to 1000 m in return of a significant decrease in the false alarm probability.

## 3. 30 kHz Case

In order to estimate the reference detection range for an operating frequency of 30 kHz, we utilize the sonar equation (1).

Assume that the receiver has the same generalized passive detector characteristics as in the 60 kHz case:

DI = -180 dB

NL = -124 dB.

DT = -6.5 dB, based on  $p_D$  = 0.5 and  $p_{FA}$  =  $10^{-6}$ . However, the empirical equation (7) for the source level:  $SL=SL'+20-20\log f$  shows that the source level falls off as  $f^{-2}$ . If the dynamical and dimensional parameters of the propeller are the same, SL will increase by +6 dB when the frequency is reduced from 60 to 30 kHz.

A source level of

SL=100+5=106 dB

gives a range dependent solution of the sonar equation  $-20\log R - \alpha R = -87 - 6 = -93 \text{ dB}.$ 

A plot of

 $-20\log R - \alpha R$ 

is given in Fig. 20 for an absorption coefficient  $\alpha$ =0.01 dB/m taken from Fig. 14.

Figure 20 then gives a detection range of R = 2400 m.

Thus, as seen from these ideal reference calculations, halving the frequency gives a higher source level and a lower absorption loss, resulting in a doubling of the detection range.

### VI. THE EFFECT OF SURFACE SCATTERING

# A. OCEANOGRAPHIC DESCRIPTION OF THE SEA SURFACE

The roughness of the sea surface is the essence of the scattering mechanism. Thus, to adequately describe the scattering of sound from a randomly rough sea surface, it is necessary to formulate a suitable description of the sea surface from an acoustical propagation point of view.

Generally the shape of the rough sea surface is most appropriately described in terms of time and spatial dependent random variables. However, observation of the ocean under the same environmental (meteorological) conditions indicates that the roughness is the same over large areas and for periods of at least several hours. The random processes responsible for the structure of the sea surface, therefore, can be considered stationary at least over periods of hours. With this assumption, the sea surface can be described in terms of the statistical description of the surface displacement function, and the distribution of signals reflected from the sea surface can then be related to this probability distribution function.

The most significant statistical parameters describing the scattering mechanism from the randomly rough surface are:

- -the mean square slope
- -the mean square surface height
- -the correlation length.

Optical measurements made at sea by C. Cox and W. Munk [Ref. 13] showed that the sea surface with an arbitrary wide continuous spectrum of waves is characterized by a Gaussian distributed surface slope. The mean square slope, determined from these optical measurements is

$$\langle \zeta^{1} \rangle = \Sigma^{2} = (3+5.12\text{w}) \times 10^{-3}$$
 (35)

where

W=wind speed in m/s measured 41 ft (12.5 m) above the sea surface.

The Gaussian distribution of surface slopes implies that the surface displacement function can be described by a Gaussian probability density function with zero mean

$$< c> = 0$$

and variance

$$\langle z \rangle = \sigma^2$$

and Gaussian correlation function

The mean square height  $\sigma^2$ , is obtained by integrating the frequency spectrum of the fully developed sea. The frequency spectrum G is given by the commonly accepted semi-empirical expression of Piersom-Moskowitz [Ref. 14] as

$$G(\Omega) = \frac{\alpha g^2}{\Omega^5} \exp\left[-\beta \frac{\Omega_o}{\Omega}\right]^4$$
 (36)

where

 $\Omega = \text{frequency (in s}^{-1})$ 

 $\alpha = 8.1$ 

 $\beta = .74$ 

 $\Omega_0 = g/W \text{ (in s}^{-1}).$ 

W = wind speed in m/s at 19.5m above the sea surface q = qravitational acceleration (in m/s<sup>2</sup>).

This gives

$$\sigma^2 = \int_0^\infty G(\Omega) d\Omega = \frac{\alpha W^4}{4 \beta g^2}$$
 (37)

For a Gaussian autocorrelation function expressed as

$$\psi(\tau) = \frac{1}{\sigma^2} \langle \zeta(t) \zeta(t+\tau) \rangle = e^{-\tau^2/r^2}$$
 (38)

where

T = correlation length.

The following relationship for the mean square height holds for sea of small roughness

$$\Sigma^2 = \frac{2\sigma^2}{T^2} , \text{ see later Eq. (60)}$$
 (39)

and the correlation length is thus:

$$T = \sqrt{2} \frac{\sigma}{\Sigma} \tag{40}$$

#### B. SCATTERING THEORY

All real boundaries are rough for radiation with short enough wavelength, and the apparent roughness depends on the "viewing" conditions. The wave reflected by a plane surface has the same properties as the incident wave since the radiation is scattered coherently and there is a definite relation between the incident and scattered waves.

A randomly rough surface, however, such as the wind generated ocean surface, scatters radiation in all directions, i.e., an illuminated area is visible from any direction.

Heuristically there are two distinct approaches to this phenomena.

- If the boundary is rough most of the radiation is scattered and there is little transmission in the specular direction. Thus, the attenuation caused by the irregularities can be included in the transmission equation.
- 2. If the surface is truly smooth, it can be assumed that the effect of the boundary is to supplement the original pressure field by an out-of-phase image contribution. For a randomly rough surface the reflected sound neither completely cancels the direct sound nor adds to give +6 dB pressure peaks of the interference pattern. For a rough surface, this supplement is a small fraction of the direct path.

The second approach will be used with the simplified assumption that the sea below the surface has an isotropic statistical description; i.e., the mean acoustic velocity and the mean density are assumed to be constant and have negligibly small mean square fluctuations.

The estimation of the scattering is based on an approximation method employing both ray and wave theory. Ray methods are used to follow the acoustic signal from the noise source to the vicinity of the sea surface. Then, wave theory is used to calculate the scattering process. Finally, ray theory is used to follow the scattered signal to the receiver.

The geometry is given in Fig. 24a. The origin of the coordinate system is at the center of the illuminated area. The x-y plane coincides with the mean of the rough surface as averaged over the illuminated area.

The source and receiver are at distances  $R_1$  and  $R_2$ , respectively, from the origin.  $R_1$  is the xz-plane and makes the angle  $\theta_1$  with the z-axis.  $R_2$  makes the angle  $\theta_2$  with the z-axis and the projection of  $R_2$  on the xy-plane has an angle  $\theta_3$  relative to the x-axis.

For high frequencies  $R_1$  and  $R_2$  are much larger than the acoustic wavelength. Then both the incident wave and scattered waves can be treated as nearly plane waves.

The formulation of the scattering problem will be based on the Helmholtz integral which requires known values of the normal derivatives of the incident and reflected waves on the boundary. These are estimated by using the neuristic Kirchhoff's approximation, which assumes that the wave is locally reflected by a plane surface; i.e., an approximation restricted to a surface not too rough and not shadowed.

Further, the receiver derictivity, as indicated by Fig. 24b, will be used to limit the surface area that is illuminated.

Since this procedure is based on a detailed development by I. Tolstoy and C. S. Clay [Ref. 15], only the main points will be outlined here to bring out the assumptions made and the inherent limitations of this approach.

The development starts by considering the inhomogeneous wave equation of the general form:

$$\nabla^2 p(\vec{x}_1 t) - \frac{1}{c^2} \frac{\partial^2 p(\vec{x}_1 t)}{\partial t^2} = -4\pi f(\vec{x}_1 t)$$
 (41)

where

 $f(x_1^{\dagger}t) = is a known source distribution.$ 

The development is based on the following initial assumptions:

- -The medium is homogeneous.
- -The medium is bounded by some surface S, onto which an incident wave impinges.

-The boundary is characterized by the specific acoustic admittance and the shape of the boundary.

-The incident wave is harmonic.

The assumed harmonic source implies that f(x,t) can be decomposed into a Fourier integral. Furthermore, assuming that the solution of Eq. (41) can be decomposed in time, we arrive at the Helmholtz equation

$$\nabla^2 p_{\omega}(x) + \frac{\omega^2}{c^2} p_{\omega}(x) = -4\pi f_{\omega}(x)$$
 (42)

It should be noted here that L. Fortuin, in [Ref. 16] showed that the Helmholtz equation is not exactly correct for a medium with a time dependent boundary. The equation can, however, be used with a good approximation when the time derivative of the surface elevation is much smaller than the speed of the waves through the medium. For underwater sound waves scattered by the rough sea surface, this means that the wind speed has to be much less than the sound speed; a requirement easily fulfilled for our investigation.

Green's method allows the solution of this linear inhomogeneous wave equation to be expressed in the heuristic Helmholtz integral form:

$$p_{\omega}(\mathbf{x}) = \int_{\mathbf{V}} f_{\omega}(\mathbf{x}^{1}) G_{\omega}(\mathbf{x}\mathbf{x}^{1}) d^{3}\mathbf{x}^{1} + \frac{1}{4\pi} \oint_{\mathbf{S}} \{G(\mathbf{x}\mathbf{x}^{1}) \frac{\partial p_{\omega}(\mathbf{x}^{1})}{\partial \mathbf{n}^{1}}$$

$$- p_{\omega}(\mathbf{x}^{1}) \frac{\partial G_{\omega}(\mathbf{x}\mathbf{x}^{1})}{\partial \mathbf{n}^{1}} \} d\mathbf{a}^{1}$$

$$(43)$$

The first integral on the RHS of Eq. (43) contains the sound sources and the bulk (volume) scattering. The second integral represents the surface scattering and is taken over all finite surfaces.

Now, disregarding the direct path, the signal at the receiver is given by the surface integral alone:

$$p_{\omega}^{(s)}(x_2) = \frac{1}{4\pi} \int_{s} \left\{ G(x_2 x^1) \frac{\partial p_{\omega}}{\partial n^1} - p_{\omega}(x^1) \frac{\partial G}{\partial n^1} \right\} da^1$$
 (44)

where the subscript s denotes the scattered field. In order to solve Eq. (44) the following must be done:

- -Give an approximate expression for the incident wave.
- -Find an appropriate Greens function.
- -Make an approximation for  $p_{\omega}(x^1)$  and  $\partial p_{\omega}/\partial n$  at the surface.

As we already have assumed a simple harmonic source, the incident wave can be expressed as:

$$p_{(i)}(\mathbf{x}^1) = \left(\frac{\mathbb{I}\mathbf{p}\mathbf{c}}{2\pi}\right)^{\frac{1}{2}} \frac{\mathbf{D}}{\mathbf{R}} e^{\mathbf{i}\mathbf{k}\mathbf{R}} = \frac{\mathbf{B}\mathbf{D}}{\mathbf{R}} e^{\mathbf{i}\mathbf{k}\mathbf{R}}$$
(45)

where

II: power output.

D: illumination function.

Assuming kR>>1, i.e., that the distance of the source is large compared to the wavelength, the wave in the bounded ensonified area can be considered as a plane wave

characterized by its propagation vector

$$k_{i} = -k \frac{\vec{x}_{i}}{|\vec{x}_{1}|}$$

thus obtaining the expression for the incident wave:

$$P_{\omega}^{(i)}(\dot{x}_{1}) = \frac{BD}{R} e^{ikR_{1}} e^{iki \cdot \dot{x}^{1}}$$
(46)

Further assuming that the receiver is sufficiently far removed from the scattering area with the rest of the sea surface not contributing, then the scattering area acts as a small induced source in a free space and we can approximate the propagation of the scattered waves from the ensonified region in terms of the free field Greens function:

$$G(x_2x_1) \approx \frac{e^{ikR_2}}{R_2} e^{-i\vec{k}_S} \cdot \vec{x}^1$$
 (47)

where

$$k_s = k \frac{\bar{x}_2}{|\bar{x}_2|}$$

We further assume that each surface element da<sup>1</sup> acts as a small reflector, and that the response of da<sup>1</sup> to the incident wave is that of a "local reaction," i.e., independent of any other part of the ensonified area A.

Then, the Kirchhoff's approximation where it is assumed that p and  $\partial p/\partial n$  vanish everywhere on the surface except at the ensonified area and that the values of p and  $\partial p/\partial n$  are proportional to the incident wave, allow the scattered

"target" strength for the ensonified area to be approximated as:

$$p_{\omega}(x^{1}) \Big|_{A} = R p_{\omega}^{(i)}(x^{1})$$
(48)

$$\frac{\partial p}{\partial n^1} \Big|_{A} = R \frac{\partial p(1)}{\partial n^1}$$

where

p is the locally reflected wave.

R = reflection coefficient.

$$= \frac{p^1c^1\cos\theta - pc\cos\theta^1}{p^1c^1\cos\theta + pc\cos\theta^1}; \frac{c}{\sin\theta} = \frac{c^1}{\sin\theta^1}$$

Finally, assuming a Gaussian illumination function:

$$D = e^{-\frac{X^2}{X^2} - \frac{Y^2}{Y^2}}$$
 (49)

where

X and Y are the effective dimensions of the illuminated area.

The scattering integral based on the Kirchhoff's approximation can be expressed as:

$$p_{\omega}^{(s)}(x_{2}) = -\frac{ikBe^{ik(R_{1}+R_{2})}}{2\pi R_{1}R_{2}}Rf(\theta_{1}\theta_{2}\theta_{3}) \int_{-\infty}^{\infty} De^{2i(\alpha x^{1}+\beta y^{1})} e^{2i\zeta(x^{1}y^{1})} dx^{1}dy^{1}$$
(50)

where

$$\alpha = \frac{k}{2} (\sin \theta_1 - \sin \theta_2 \cos \theta_3)$$

$$\beta = -\frac{k}{2} \sin \theta_2 \sin \theta_3$$

$$\gamma = -\frac{k}{2}(\cos \theta_1 + \cos \theta_2)$$

 $\zeta(x^1y^1)$  = surface displacement function.

The above scattering integral is then applied to a randomly rough surface where the surface displacement function  $\zeta$  is a random variable assumed to be represented by a Gaussian PDF expressed as

$$W(\zeta) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\zeta^2/2\sigma^2}$$
 (51)

with zero mean and variance  $\sigma^2$ .

Also, assume that the surface is slowly varying so that the signal reflects from an essentially stationary surface and that the succession of received scattered signals  $\mathbf{p}_n$  are assumed to form a satistically independent set of sample functions from which sequence N the first and second moment of the field can be investigated. Doing so Clay and Tolstoy [Ref. 15] found that the mean reflected signal can be expressed as

$$\langle p_{\omega}^{(s)}(x_2) \rangle \zeta = P_0 e^{-2\gamma^2 \sigma^2}$$
 (52)

where

$$p_0 = p_\omega^{(s)}(x_2) \mid_{\zeta=0}$$

is the signal reflected by a mirror-like surface, other factors being the same.

It is seen from the above that

- 1. For  $\sigma \rightarrow 0$ , all displacements  $\zeta$  have zero probability and the mean signal tends to  $p_0$ . Furthermore, all elements contribute to the scattering coherently.
- 2. For σ>>k, all displacements ζ are equally probable.
  There are large phase shifts between contributions
  from different surface elements and they tend to cancel
  each other and the scattering radiation is incoherent.

The second moment is defined as:

$$\langle s^{2}(t) \stackrel{\Delta}{=} \frac{1}{T} \int_{0}^{T} s^{2}(t) dt = \langle pp* \rangle_{T} -\langle \overline{pp}* \rangle_{T}$$
 (53)

where

$$s^{2}(t) = \frac{1}{N} \left[ \sum_{1}^{N} p_{n}^{2}(t) - N \langle p(t) \rangle_{N}^{2} \right]$$
 (54)

In this expression the operation of squaring the signal has to be considered. However, each surface element has a different  $\zeta$  for a random surface. Thus, the probability of finding element dx' dy' with  $\zeta_1$ , and element  $\zeta_2$  with dx" dy" is expressed in the bivariate distribution function assumed to be Gaussian and of the form:

$$W(\zeta_1\zeta_2) = \frac{1}{2\pi\sigma^2(1-\psi^2)^{\frac{1}{2}}} e\left[-\frac{1}{2(1-\psi^2)\sigma^2} (\zeta_1^2 + \zeta_1^2 - 2\zeta_1\zeta_2\psi)\right] (55)$$

where

$$\psi(\xi,\eta) = \frac{1}{\sigma^2} \langle \zeta_1(x^i y^i t) | \zeta_2(x'' y'' t) \rangle$$
 (56)

is the cross-correlation function characterizing the surface shape.

Changing to polar coordinate leads to Eq. 6.51 of Ref. 15 where:

$$\langle s^2 \rangle \alpha \int_0^{\infty} D J_0(2\kappa r) \left[ e^{-4\gamma^2 \sigma^2 (1-\psi)} - e^{-4\gamma^2 \sigma^2} \right] r dr$$
 (57)

Here  $J_{o}$  is the Bessel function of zero order and x is the transformation parameter given as

$$\kappa = \frac{\alpha}{\cos \theta}$$

As seen from Eq. (57)

- 1. For rough surface,  $\gamma^2\sigma^2$  is large and the second term in the bracket, the coherent part, is negligible.
- 2. For smooth surface,  $\gamma^2\sigma^2$  is zero and the whole bracket is zero.

Since Eq. (57) cannot be integrated directly, Clay and Tolstoy [Ref. 15] consider it for small and large  $\gamma\sigma$  separately.

Thus, concentrating on the high frequency limit, Clay and Tolstoy assumed  $\gamma^2\sigma^2>>1$  and showed that:

-The coherent component is negligible.

 $-\langle s^2 \rangle = \langle pp* \rangle$ , as the means tends to zero,

$$\langle \mathbf{s}^2 \rangle = \langle \mathbf{p} \mathbf{p}^* \rangle \alpha \int_0^\infty (2\kappa \mathbf{r}) e^{-4\gamma^2 \sigma^2 (1-\psi)} r d\mathbf{r}; \ \gamma^2 \sigma^2 \rangle \langle \mathbf{s} \rangle$$
 (58)

Equation (58) consists of the product of an oscillatory function and an exponential function. Because of the Bessel function, the main contribution to the integral is near r=0. Near r=0 the phase changes slowly and the expression can be evaluated by the method of stationary phase. Thus, the expression of  $\psi$  about r=0 is given as:

$$\psi \approx 1 + \psi''(0) \frac{r^2}{2}$$
 (59)

Furthermore, Clay and Tolstoy show that  $\psi$ "(0) can be related to the characteristics of the surface as:

$$|\psi''(0)| = \frac{1}{\sigma^2} \langle \zeta^{12} \rangle$$
 (60)

Finally, Clay and Tolstoy show that the scattering signal can be expressed as:

$$\langle s^2 \rangle = \langle pp^* \rangle = \langle P_1 P_1^* \rangle \frac{A}{R_2^2} S_{hf};$$
 (61)

where

A = ensonified area

<p\_pp\*>: the expected average value of  $p_1^2$ , where  $p_1$  is the incoming pressure to the
luminated area.

 $S_{hf} = \text{scattering function}$   $= \frac{f^2(\theta) R^2}{2\pi (\cos \theta_1 + \cos \theta_2)^2 < \zeta^{12} >} e^{-\frac{X^2}{(2\gamma^2 < \zeta^{12} >)}}$ 

$$f(\theta) = f(\theta_1\theta_2\theta_3) = \frac{1 + \cos\theta_1 \cos\theta_2 - \sin\theta_1 \sin\theta_2 \cos\theta_3}{\cos\theta_1 + \cos\theta_2}$$

$$R = \frac{\rho^1 c^1 \cos\theta - \rho c \cos\theta^1}{\rho^1 c^1 \cos\theta + \rho c \cos\theta^1}; \frac{c}{\sin\theta} = \frac{c^1}{\sin\theta^1}$$

$$\gamma = -\frac{k}{2} (\cos\theta_1 + \cos\theta_2)$$

$$\kappa = \frac{\alpha}{\cos\theta}$$

$$\alpha = \frac{k}{2} (\sin\theta_1 - \sin\theta_2 \cos\theta_3)$$

$$k = \frac{2\pi}{\lambda}$$

$$<\zeta^{12}> = (3 + 5.12W) \times 10^{-3}; W = \text{wind speed in m/s.}$$
Equation (61) is valid for:
$$4\gamma^2\sigma^2>>1$$

In summary, the reflection of high frequency signals yields scattered radiation which is incoherent. Furthermore, as pointed out by Clay and Tolstoy in [Ref. 15] although the radiation is primarily scattered in the specular direction, parts are scattered in all directions. As seen from Eq. (61) the scattering function  $S_{\rm hf}$  is primarily dependent on the mean square slope of the surface  $<\zeta^{12}>$  and neither the mean square wave height  $\sigma^2$  nor the correlation distance are important. Furthermore, it is noticeable that in the

 $(2\gamma^2 < \zeta^{12} >) >> \frac{1}{p^2}$ 

high frequency limit the scattering function is independent of the frequency since

$$e - \frac{k^2}{(2\gamma^2 < \zeta^{12}>)}$$
  $\rightarrow 1$  when  $r^2 \rightarrow \infty$ 

#### C. GEOMETRICAL SHADOWING

The phenomena of shadowing of some surface areas by others has to be considered either when the surface irregularities are large with respect to the incident wavelength or when the grazing angle is small.

The few papers devoted to this subject are aimed mainly towards calculation of a "Shadowing function" based on the statistics of the surface.

An explicit method, geometrical shadowing, has been introduced by P. Bechmann [Ref. 17] where the shadowing function  $S(\theta)$  is the probability that the point  $\zeta$  (Fig. 25) is illuminated.

$$S(\theta) = \exp \left[-\int_{0}^{\infty} q(x) dx\right]$$
 (62)

where:

q(x) is the probability that  $\zeta$  is shaded by  $\zeta$  in the interval (x,x+dx) given that it is not shaded in (0,x).

This calculation of  $S(\theta)$  only considers the elevation of the surface observation point. However, the slope also plays a role in that if its value exceeds  $\cot\theta$  the point

will certainly be shaded. Thus, R. Wagner, in Ref. 18, incorporated both  $\zeta$  and  $\zeta^1$  using P. Bechmann's method and found that the conditional probability that a point on the surface is illuminated, given that it has height  $\zeta$  and slope  $\zeta^1$ , can be expressed as:

$$S(\theta_1|\zeta_1,\zeta_1^1) = \exp\left[-\int_0^\infty q(x)dx\right] \quad u(\cot\theta - \zeta^1) \quad (63)$$

where

u: is the unit step function.

q(x): is the conditional probability that  $\zeta$  is shadowed in the interval (x,x+dx) given that it is not shadowed in (0,x).

The function q(x) cannot be calculated exactly. Thus, R. Wagner made the approximation that, for all x, the probability that  $\zeta$  crosses the ray in dx is independent of the values of  $\zeta$  and  $\zeta^1$  at x=0. In the above, no mention has been made of the direction of observation. However, in this respect, R. Wagner [Ref. 18] points out that in the high frequency limit only those portions of the surface which are illuminated simultaneously by rays in the direction of incidence and observation can contribute to the observed scattered power. For this condition, R. Wagner introduced both directions as independent variables in the so-called bistatic shadowing probabilities where he defines:

- S(θ<sub>1</sub>θ<sub>2</sub>|ζ<sub>1</sub>,ζ<sub>1</sub>') to be the conditional probability
  that the surface will not cross the incoming ray
  (Ray 1) or the outgoing ray (Ray 2) anywhere,
  given that both rays pass through an arbitrary
  point or the surface with displacement ζ and slope ζ<sup>1</sup>.
- 2.  $S(\theta_1 | \theta_2, \zeta_1, \zeta_1')$  to be the conditional probability that the surface does not cross Ray 1, given that it does not cross Ray 2 and that both rays pass through the point  $\zeta$  having slope  $\zeta'$ .

Thus, the conditional shadowing function can be expressed as:

$$S(\theta_1, \theta_2 | \zeta_1, \zeta_1') = S(\theta_1 | \theta_2, \zeta_1, \zeta_1') S(\theta_2 | \zeta_1, \zeta_1')$$
 (64)

The shadowing function is then obtained by averaging over all possible heights and slopes

$$S(\theta_1, \theta_2) = \iint_{\Omega} S(\theta_1, \theta_2 | \zeta, \zeta') W(\zeta, \zeta') d\zeta d\zeta'$$
 (65)

Here  $w(\zeta,\zeta')$  is the bivariate PDF of the surface height and slope, assumed to be Gaussian

$$w(\zeta,\zeta') = \frac{1}{2\pi} (\psi_0 |\psi_0''|)^{-\frac{1}{2}} \exp\{-\frac{\zeta^2}{2\psi} - \frac{\zeta^1}{2|\psi_0'''|}\}$$
 (66)

where  $\psi_0 = \sigma^2$  and  $\psi''$  are the values at  $\tau = 0$  of the correlation function and its second derivatives, respectively.

For the region  $0<\theta<\frac{\pi}{2}$  where the probability of crossing one ray is assumed independent of that of crossing the other, R. Wagner found that the bistatic shadowing function

could be expressed as:

$$S(\theta_1, \theta_2) = \frac{\{1-\exp[-2(B_1+B_2)]\} \times \{\text{erf } v_1 + \text{erf } v_2\}}{4(B_1+B_2)}$$
(67)

where

$$B_{i} = \frac{\exp(-v_{i}^{2}) - \sqrt{\pi} \ v_{i} \ \text{erfc} \ v_{i}}{4\pi \ v_{i}}; \quad i = 1,2$$

$$v_{i} = \frac{\eta_{i}}{2\sigma^{2}|\psi_{0}||} = \frac{|\eta_{i}|}{2\Sigma^{2}}; i = 1,2$$

as we from Eq. (60) have that  $\sigma^2 | \psi''(0) |$ =  $<\zeta^{12}> \approx \Sigma^2$ 

$$\eta_i = \cot \theta$$
;  $i = 1,2$ 

and noting that

Error function erf(x) = 
$$\frac{2}{\sqrt{\pi}} \int_{0}^{x} e^{-t^2} dt$$

Complementary error function  $\operatorname{erfc}(x) = \frac{2}{\pi} \int_{x}^{\infty} e^{-t^2} dt$ 

The shadowing function  $S(\theta_1,\theta_2)$  is, in short, the fraction of the surface still illuminated. As seen from Eq. (60), the scattered field, in the high frequency case, is proportional to the illuminated area. Hence, the shadowing effect of a rough surface can be introduced by multiplying the ensonified area A by the shadowing function  $S(\theta_1,\theta_2)$ .

# D. ESTIMATING THE SURFACE SCATTERING EFFECT

The following estimations are based on calculations in the specular direction, which is, as pointed out earlier, expected to give the maximum supplementary scattering effect. Hence, in the specular direction where  $\theta_1 = \theta_2 = \theta$  and  $\theta_3 = 0^{\circ}$ , the scattering function  $S_{hf}$  reduces to the following expression:

$$S_{hf} = \frac{f^{2}(\theta) R^{2}}{2 (\cos\theta_{1} + \cos\theta_{2})^{2} < \zeta^{12}} e^{-\frac{\kappa^{2}}{(2\gamma^{2} < \zeta^{12} >)}}$$
(68)

$$s_{hf} = \frac{R^2}{8\pi < \zeta^{12} >}$$

as

$$f(\theta) = \cos\theta$$

$$\gamma = k\cos\theta$$

$$\alpha = 0$$

$$x = \frac{\alpha}{\cos\theta} = 0$$

As pointed out in the previous paragraph, the reflection of very high frequency signals by the sea surface yields scattered radiation that is incoherent under the assumption that

$$4\gamma^2\sigma^2 >> 1$$
  
 $(2\gamma^2 < \zeta^{12} >) >> \frac{1}{R^2}$ 

Before we launch into the calculations, we will verify these criteria for the frequency range of interest: 60 and 30 kHz.

Utilizing

$$\gamma^2 = k^2 \cos^2 \theta$$

$$\alpha = 8.1 \times 10^{-3}$$

$$\sigma^2 = \frac{\alpha W^4}{4 \beta \sigma^2}$$

$$\beta = 0.74$$

 $\langle \zeta^{12} \rangle = (3 + 5.12W) \times 10^{-3}$  g = 9.81 m/s<sup>2</sup>

and assuming a windspeed of 10 m/s (SS3) yields

$$\alpha^2 = 0.30 \text{ m}^2 \text{ ; } \sigma = 0.55 \text{ m}$$

$$\langle \zeta^{12} \rangle = 5.42 \times 10^{-2}$$

For 60 kHz( $\lambda$  = .025 m) and low grazing angles, e.g.,  $\theta$  = 85°  $4\gamma^2\sigma^2$  = 5.75 x  $10^2$  >>1

$$(2\gamma^2 < \zeta^{12} >) = 5.2 \times 10^{\frac{1}{2}} >> \frac{1}{R^2}$$

and the first order  $5.2 > \frac{1}{R^2}$ ,  $R \ge 0.5m$ .

Thus, for the 60 kHz case, the criteria are fulfilled.

For 30 kHz ( $\lambda$ =.05m) and  $\theta$ =85°.

$$4\sigma^2\gamma^2 = 1.44 \times 10^2 >> 1$$

$$(2\gamma^2 < \zeta^{12} >) = 13 >> \frac{1}{R^2}$$

again the first order R > 1.0 m.

Thus, also for the 30 kHz case the criteria are fulfilled.

Similarly, for the shadowing function in the specular direction

$$0<\theta_1=\theta_2=\theta<\pi/2$$
 and  $\theta_3=0$ 

we obtain the following simplified expression

$$S(\theta) = S(\theta_1, \theta_2) = \frac{[1-\exp(-4B)]erfv}{4B}$$
 (69)

as

$$v_1 = v_2 = v = \frac{|\eta|}{(2\sigma^2|\psi_0|^2)^{\frac{1}{2}}} = \frac{|\eta|}{(2\bar{\geq}^2)^{\frac{1}{2}}}$$

$$\eta_1 = \eta_2 = \eta = \cot \theta$$

$$B_1 = B_2 = B = \frac{[\exp(-v^2) - \sqrt{\pi} \ v \ erfc \ v]}{4\sqrt{\pi} \ v}$$

In summary, for specular scattering at the high frequency limit, the expected average value of  $p^2$ , where p is the pressure field at the receiver, is then obtained from the following simplified expressions:

$$\langle s^2 \rangle = \langle pp^* \rangle \frac{AS(\theta)}{R_2^2} S_{hf}$$

$$\langle s^2 \rangle = \langle pp^* \rangle \frac{AS(\theta) R^2}{8\pi R_2^2 \langle \zeta^{12} \rangle}$$
(70)

where

$$S(\theta) = \frac{1 - \exp(-4B) \operatorname{erfv}}{4B}$$

$$v = \frac{|n|}{(2\Sigma^2)^{\frac{1}{2}}}$$

$$|n| = \cot \theta$$

$$B = \frac{\left[\exp(-v^2) - \sqrt{\pi}v \operatorname{erfc} v\right]}{4(\pi)^{\frac{1}{2}} v}$$

 $<\zeta^{12}> = \Sigma^2 = (3 + 5.12W) \times 10^{-3}$ ; W = windspeed in m/s.

$$R = \frac{\rho^1 c^1 \cos \theta - \rho c \cos \theta^1}{\rho^1 c^1 \cos \theta + \rho c \cos \theta^1}; \frac{c}{\sin \theta} = \frac{c^1}{\sin \theta^1}$$

From Ref. 19 we use the following air/sea water interface data:

- 1. For air c' = 343 m/s and  $\rho^{1}c^{1}$  = 415 Rayls.
- 2. For sea water c = 1500 m/s and  $\rho c = 1.54 \times 10^6$  Rayls.

The estimation of the illuminated area A for specular scattering where  $\theta_1$  =  $\theta_2$  = 0 and  $\theta_3$  = 0, is based on the geometry illustrated in Fig. 24b. The illuminated area is given by

$$A = \pi ab \tag{71}$$

Assuming the following data to be known

h = depth of the source

h = depth of the receiver

 $\Delta \phi$  = the half beam width of the directional receiver. Both a and b of Eq. (71) can be calculated in terms of the detection range R<sub>D</sub> as follows:

$$x_2 = \frac{R_D h_r}{h_s + h_r}$$
 (72)

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$$x_1 = R_D - x_2 = R_D (1 - \frac{h_r}{h_s + h_r})$$
;  $\theta = \tan^{-1} (\frac{R_D}{h_s + h_r})$ 

$$R_1 = \frac{x_1}{\sin \theta}$$

$$R_2 = \frac{x_2}{\sin \theta}$$

To a good approximation when  $\theta = \pi/2$ , we have

$$a = x_1 = R_D(1 - \frac{h_r}{h_s + h_r})$$
 (73)

$$\mathbf{b} = R \sin(\Delta \phi) = \frac{R_D h_r}{(h_s + h_r) \sin \theta} \sin(\Delta \phi)$$

The expression for  $\langle s^2 \rangle$  is then introduced as a supplement to the direct path to the receiver in the following way:

By utilizing the relationship

$$I = \frac{\overline{F^2}}{CC} \tag{74}$$

for the intensity, the scattering intensity at the receiver and the intensity at the ensonified area are, respectively,

$$I_{S} = \frac{\overline{p^2}}{\rho C} = \frac{\langle S^2 \rangle}{C}$$

$$I_1 = \frac{\overline{p_1^2}}{\rho_C} = \frac{\langle p_1 p_1^* \rangle}{\rho_C}$$

Equation (70) can thus be written as

$$I_s = I_1 \frac{AS(\theta) R^2}{8\pi R_2^2 < \zeta^{12} >}$$

$$I_{s}/I_{1} = \frac{AS(\theta) R^{2}}{8\pi R_{2}^{2} < \zeta^{12}>}$$

10log 
$$I_s/I_1 = 10log \frac{I_s/I_{ref}}{I_1/I_{ref}} = K_0$$

$$IL_{s} = IL(R_{1}) = K_{O}$$
 (75)

We then have to determine IL(R,)

$$TL = SPL(1) - SPL(R_1) = 20logR_1$$
 (76)

$$SPL(R_1) = IL(R_1) = 10logI_1$$

$$SPL(1) = SL$$

yielding

$$IL(R_1) = SL - 20logR_1 = K_1$$
 (77)

Then

$$IL_{suppl} = K_0 + K_1 \tag{78}$$

is the supplement to the direct path, and

$$I_{\text{direct}}/I_{\text{ref}} = \text{anti log } \frac{IL_{\text{direct}}}{10} = K_{\downarrow}$$

$$I_{suppl}/I_{ref} = anti log \frac{IL_s}{10} = K_s$$

The total intensity of the receiver is thus

$$I_{\text{Tot}}/I_{\text{ref}} = \frac{I_{\text{direct}} + I_{\text{suppl}}}{I_{\text{ref}}} = K_4 + K_5 = K_6$$

$$IL_{Tot} = 10logK_6 = K_7 \tag{79}$$

Thus, the effect of the randomly rough surface compared to the idealized free-field condition can be expressed as

$$\Delta IL = IL_{Tot} - IL_{direct} = K_8$$
 (80)

A calculator program on a Texas Instrument 59 (later called TI 59) was developed to perform these calculations. A block diagram of the program is outlined in Fig. (26) and the programs steps together with a detailed description is given in Appendix B. The calculations are based on the following fixed data

$$h_s = 2 m$$

$$h_r = 6 m$$

$$\Delta \phi = 10^{\circ}$$

$$W = 10 \text{ m/s (SS3)}$$

Then, varying the detection range from R = 2000 m to 100 m gives the difference between  $IL_{Tot}$  and  $IL_{direct}$  plotted in Fig. (27).

## E. CONCLUSION AND DISCUSSION

As seen from Fig. (27) the supplementary effect of the scattering from a rough surface in the high frequency case is negligible compared to the direct path.

In saying so, it also should be pointed out that the Helmholtz-Kirchhoff's approach may be limited as it does not take into account the diffraction effects from crests

and throughs of the ensonified area, an effect which becomes increasingly important at low grazing angles, high frequency and when the rough surface is a superposition of swell and capillary waves.

## VII. THE EFFECT OF SCATTERING AND ABSORPTION FROM THE SUB-SURFACE OCEAN LAYER

## A. GENERAL SCATTERING THEORY

Generally when a region (volume) scatters sound, some of the energy carried by the incident wave is dispersed.

The energy lost by the incident wave may be absorbed by the scatterers or it may be simply deflected from its original course. The amount of energy lost per second by the incident wave divided by the incident wave's intensity is called the total cross section  $\sigma_e$  of the region and is the sum of the absorption and scattering cross sections

$$\sigma_{e} = \frac{\Pi_{s} + \Pi_{a}}{I_{p}}$$

where

II<sub>s</sub> = scattered power

 $I_a = absorbed power$ 

The existence of gas bubbles in the subsurface ocean layer modifies the forward scattering in the following two major ways:

 The bubbles can resonate. When the bubbles are excited at a frequency near its natural frequency, it very efficiently absorbs and scatters the incident wave. At resonance, the scattering and absorption cross section of a typical bubble at sea is of the order 10<sup>3</sup> times its geometrical cross section.

 The bubbles change the effective compressibility of the water and cause the speed of sound to be a function of frequency, i.e., the medium is dispersive.

We will investigate and discuss these effects by separately estimating:

- 1. The attenuation due to the bubbles and
- 2. the refraction by bubbles.

#### B. ABSORPTION MODEL

The choice of model for the subsurface ocean layer depends on whether the medium has a teneous or a dense distribution of scatterers. When the bubble density is teneous, both "single scattering" and "first order multiple scattering" approximation solutions are applicable.

On the other extreme, when the bubble density is high, the so-called "diffusion" approximation can be used.

Between these two extremes, multiple scattering effects are important.

The multiple scattering theory, which in the limit also contains the first order approximation, will be used to estimate effects of attenuation due to bubbles, on the propagation of propeller noise from the target to the torpedo.

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The geometry of the propagation model is illustrated in Fig. (28) where it is assumed that a plane wave is incident on a semi-infinite (disregarding the sea surface) slab of thickness x containing a number of randomly distributed bubbles. The plane wave approximation is valid if the incident sound has a wavelength  $\lambda$  much greater than the bubble-radius a

ka<<1

where

 $k=\omega/c=2T/\lambda$ 

The receiver is located outside the slab and the beam pattern of the receiver is represented by the solid angle  $\Omega_{\rm r}$ .

We are interested in the estimating of the total power received, taking into account the multiple scattering process in the inhomogeneous slab as well as the beam pattern of the receiver.

The mathematical formulation of this problem is based on Twersky's theory of multiple scattering. Since the theory is presented in Ref. 20 only, the basic formulation, major assumptions, and the end results will be presented here.

The total intensity is the average of the square of the magnitude of the total field:

$$<|\psi^{a}|^{2}> = <|<\psi^{a}> + \psi_{f}^{a}|^{2}> = |<\psi^{a}>|^{2} + <|\psi_{f}^{a}|^{2}>$$
 (81)

where

$$\psi^{\mathbf{a}} = \psi_{\mathbf{i}}^{\mathbf{a}} + \sum_{\mathbf{s}=1}^{\mathbf{N}} \mathbf{u}_{\mathbf{s}}^{\mathbf{a}}$$

the scalar field at the receiver location  $\vec{r}_a$ , see Fig. (29), is the sum of the incident wave  $\phi_i$  and the contribution from all N scatterers.  $|\langle \psi^a \rangle|^2$  is the coherent intensity based on the average field  $\langle \psi^a \rangle$ .  $\langle |\psi_f^a|^2 \rangle$  is the incoherent intensity based on the fluctuating field  $\psi_f^a$ .

In Twersky's theory, the multiple scattering process is described by the following set of integral equations which Eq. (81) must satisfy:

$$<|\psi^{a}|^{2}> = |<\psi^{a}>|^{2} + |v_{s}^{a}|^{2} < |\psi^{s}|^{2} > \rho(r_{s}^{+}) dr_{s}^{+}$$
 (82)

where

$$v_s^a = U_s^a + U_t^a v_s^t \rho(r_t)dt$$

is an operator representing all the scattering processes from s to a. (See Fig. (28).) It should be noted that Twersky's theory includes all the multiply scattered waves that involve chains of successive scattering going through different scatterers. (See Fig. (29a).) However, the theory neglects the terms which include an individual scatterer more than once, as illustrated by Fig. (29b).

Thus Twersky's theory is expected to give good results when back scattering is insignificant compared to the scattering in other directions.

As typical for most integral equations, Twersky being no exception, detailed complete solutions are difficult to obtain. However, Twersky gave an approximate solution to Eq. (81) and Eq. (82), which according to Ref. 20 have been found to agree reasonably well with experimental data. This solution is based on the following main assumptions:

- -Backscattering is assumed to be small compared to scattering in other directions.
- -Scattering is mostly concentrated in the forward direction. This is reasonable based on the assumed random distribution of the bubbles; i.e., no reinforcement of the radiation pattern occurs except in the direction of the incident wave.

-The angle  $\theta_{as}$  is small, i.e.,  $\theta_{as} = 0^{\circ}$ .

This leads to the following expression for the total intensity at the receiver:

$$<|\psi^{a}|^{2}> = T = \exp(-\rho\sigma_{a}x) \exp(-\rho\sigma_{s}x)$$
 (83)  
+q[1-exp(-\rho\sigma\_{a}x)]

where

 $\sigma_a$  = absorption cross section

 $\sigma_s$  = scattering cross section

$$q = \frac{\Omega_{r}^{\int |f|^{2} d\Omega_{s}}}{4^{\int |f|^{2} d\Omega_{s}}}$$

where

f = the amplitude function

q = the fraction of total scattered power collected by the receiver as illustrated in Fig. 30 and

ρ = is the bubble density, i.e., the number of scatterers per unit volume.

For small values of  $\rho x$  we see from Eq. (82) that the coherent part dominates:

$$lnT \approx -(\sigma_a + \sigma_s) \rho x$$
 (84)

In this limit the multiple scattering result is equivalent to that obtained from single scattering considerations.

For large values of  $\rho x$  which corresponds to very dense or very wide slab of scatterers, the incoherent intensity dominates

$$lnT \approx lnq - \sigma_a \rho x$$
 (85)

In this latter case, it is notable that when  $\Omega_r^{\geq 2\pi}$ , the receiver collects almost all the scattered power

$$lnT \approx -\sigma_a \rho x \tag{86}$$

The first case, representing the situation for teneous density of scatterers and/or narrow beam pattern of the receiver, gives a good approximation to the situation of interest in the thesis. It also represents the case for

which no scattered power is received. This will be approximately true for a narrow-beam width receiver. We will, therefore, investigate the coherent intensity first.

Also, the incoherent case, as represented by Eq. (86) will be investigated, where only losses due to absorption are incorporated.

# C. THE COHERENT INTENSITY CASE

For the coherent case the intensity level after the incident wave has traversed a distance x is

$$I_{x} = I_{p} \exp[-(\sigma_{a} + \sigma_{s}) \rho x]$$
 (87)

where

 $I_p$  = incident plane wave intensity. The change in intensity over the distance x is

$$\Delta IL = 10\log \frac{I_x}{I_p} = \frac{I_p \exp \left[-(\sigma_a + \sigma_s)\rho x\right]}{I_p}$$
(88)

= 10logexp  $[-(\sigma_a + \sigma_s) \rho x]$ 

The excess attenuation per unit distance due to bubbles is thus

$$\alpha = -\frac{\Delta IL}{x} = -\left[\frac{-(\sigma_{a} + \sigma_{s}) \rho x \log e}{x}\right]$$
$$= (\sigma_{a} + \sigma_{s}) \rho \log e$$

$$\alpha = 4.34 \sigma_e \rho$$
, in dB/m. (89)

However, this only takes into account bubbles of one size. In a bubbly medium there is a spectrum of radii.

The probability density function for finding a bubble size between radii a and a+da is

$$W(a) = \frac{n(a)}{D} \tag{90}$$

where

$$\int_{0}^{\infty} W(a) da = 1$$

 $n\left(a\right)da$  is the number of bubbles per unit volume having radii between a and a+da. It is common to use da=1  $\mu m$ .

ρ = n(a)da is the total number of bubbles per o unit volume.

As the extinction cross section also is a function of the radius (See Eq. (93).), the absorption due to bubbles is obtained by integrating Eq. (89) over all possible radii

$$\alpha = 4.34 \int_{0}^{\infty} \sigma_{e}(a) n(a) da$$
 (91)

To calculate the absorption coefficient, the extinction cross section  $\sigma_{\bf e}$  must be derived from the general bubble dynamic relationship. This is done in detail by C. Clay and H. Medwin [Ref. 21] from which the following results are taken.

The scattering cross section

$$\sigma_{s} = \frac{\Pi_{s}}{\Gamma_{p}}$$

$$= \frac{4\pi a^{2}}{[(f_{r}/f)^{2}-1]^{2}+\delta^{2}}$$
(92)

where

$$f_r = resonance frequency = \frac{1}{2\pi a} \left(\frac{2\gamma b \beta P_A}{\rho_A}\right)^{\frac{1}{2}}$$

$$\delta = \text{damping constant} = \delta_r + \delta_t + \delta_v$$
$$= ka + \left(\frac{d}{b}\right) \left(\frac{f}{f}\right)^2 + \frac{4\mu}{\rho_\lambda \omega a^2}$$

$$\frac{d}{b} = 3(\gamma-1) \left[ \frac{\overline{X}(\sin h \overline{X} + \sin \overline{X}) - 2(\cos h \overline{X} - \cos \overline{X})}{\overline{X}^2(\cos h \overline{X} - \cos \overline{X}) + 3(\gamma-1)(\sin h \overline{X} - \sin \overline{X})} \right]$$

$$\overline{\underline{X}} = a \left( \frac{3w_{\rho g}C_{\rho g}}{k_{g}} \right)^{\frac{1}{2}}$$

 $k_q$  = thermal conductivity of gas

$$\rho_g$$
 = density of gas =  $\rho_{gA}[1+\frac{2\tau}{(\rho_A a)}]$  (1+0.1z)

 $\rho_{qA}$  = density of gas at sea level

 $\tau$  = surface tension

$$P_A = 1.013 \times 10^6 (1+0.1z)$$

z =bubble depth in m.

C = specific heat of constant pressure of gas

 $\mu$  = shear viscosity of water

 $\gamma = 7/5$ , for diatomic gas

 $\rho_{\mathbf{h}}$  = density for sea water

$$b = \left[1 + \left(\frac{d}{b}\right)^{2}\right]^{-1} \left[1 + \frac{3\gamma - 1}{\overline{X}} \frac{\sin h \overline{X} - \sin \overline{X}}{\cos h \overline{X} - \cos \overline{X}}\right]^{-1}$$

$$\beta = 1 + \frac{2\tau}{P_A a} (1 - \frac{1}{3\gamma b})$$

Furthermore:

$$\sigma_{e} = \sigma_{a} + \sigma_{s} = \frac{4\pi a^{2} (\delta/ka)}{[(f_{r}/f)^{2} - 1]^{2} + \delta^{2}}$$
 (93)

and

$$\sigma_{\mathbf{a}} = \sigma_{\mathbf{e}} - \sigma_{\mathbf{s}} \tag{94}$$

A detailed computer program, as outlined in Appendix B, was developed for the TI 59 to handle the derivation of  $\sigma_{\rm S}$ ,  $\sigma_{\rm e}$ , and  $\sigma_{\rm a}$  based on an assumed receiver depth of z=6 m.

For the 60 kHz case, both the extinction cross section  $\sigma_{\rm e}$  and the absorption cross section  $\sigma_{\rm a}$  are given in Fig. 31 as a function of bubble radius a.

Similarly, Fig. 32 gives  $\sigma_e$  and  $\sigma_a$  for the 30 kHz case. Superimposed on these figures are the curves for n(a)da as calculated from the following: Figures 5 - 7 of Ref. 2 give the resonant bubble densities in a 1  $\mu$ m band as a function of depth and with the wind speed as parameter for the three discrete frequencies 12 kHz, 38 kHz and 120 kHz.

Based on these data, Fig. 33 shows the interpolated bubble density as a function of resonance frequency for sea state 2, 3, and 6.

Furthermore, both A. Lövik [Ref. 2] and H. Medwin [Ref. 3] found that the bubble density function n(a) decreases with increasing bubble radii as

nαa-x

where H. Medwin [Ref. 3] found the power law of:

x = 4 for a<50-80 µm.

 $x = 2 \text{ for a} > 50 - 80 \mu m.$ 

and A. Lövik [Ref. 2] found the power law of:

x = 4.2 between 38 kHz and 120 kHz

x = 2.6 between 12 kHz and 38 kHz

which, averaged over the depth interval, corresponds to the bubble radii of 380  $\mu m\,(12~kHz)$  , 120  $\mu m\,$  (38 kHz) and 49  $\mu m\,$  (120 kHz).

As suggested by A. Lövik [Ref. 2], the discrepancy between the two observations is not great and may be due to the few measuring frequencies used in the work of A. Lövik.

In summary, Fig. 33, from which we obtain the appropriate resonant bubble density in a 1  $\mu m$  band  $n(a_R)$  together with the power law  $n\alpha a^{-x}$ , comprise the full knowledge of n(a)da.

Performing a multiplication of  $\sigma_{\rm e}$  and n(a)da, we obtain Fig. 34 and Fig. 35 for the 60 kHz and the 30 kHz case, respectively.

Finally, the integral

$$\int_{0}^{\infty} \sigma_{e}(a) n(a) da$$

was evaluated using a numerical integration based on Simpson's discrete approximation programmed for the TI 59 and documented in Appendix C.

Based on the above, the following absorption coefficient for the coherent case is obtained for f = 60 kHz

$$\alpha = 4.34 \int_{0}^{\infty} \sigma_{e}(a) n(a) da = 4.34 (2.016 \times 10^{-1})$$

$$= 8.75 \times 10^{-1} dB/m.$$

$$\alpha \approx 0.88 \text{ dB/m}$$
 (95)

with

$$n(a_R) = 1000$$
  
 $n(a) \alpha a^{-4}$   
 $z = 6 m$ .

Thus, it is seen that the attenuation due to bubbles is considerably greater than the "normal attenuation" due to chemical and viscous relaxation processes in sea water, which for the 60 kHz case is

 $\alpha = 0.02 \text{ dB/m}.$ 

Thus, the total absorption coefficient for the 60 kHz case is

$$\alpha \approx 0.90 \text{ dB/m}.$$
 (96)

For f = 30 kHz, the absorption coefficient due to bubbles in the coherent case is

$$\alpha = 4.34 \int_{0}^{\infty} \sigma_{e}(a) n(a) da = 4.34 (3.8375 \times 10^{-2})$$

$$= 1.665 \times 10^{-1} dB/m.$$

$$\alpha \approx 0.17 \text{ dB/m}$$
 (97)

with

$$n(a_R) = 20$$

$$n(a) \alpha a^{-2.6}$$

$$z = 6 m$$
.

For this case, the power law dependence of n(a)  $\alpha$   $\mbox{a}^{-2}$  gives

$$\alpha = 1.655 \times 10^{-1}$$

Thus, the difference in power law dependence makes no significant difference in the absorption coefficient.

The absorption coefficient due to chemical and viscous relaxation processes is at 30 kHz

$$\alpha = 0.012 \text{ dB/m}.$$

The total absorption coefficient in the coherent case is

$$\alpha \approx 0.18 \text{ dB/m}.$$
 (98)

### D. THE INCOHERENT INTENSITY CASE

For the incoherent case, where only losses due to absorption are included, the product  $\sigma_a$  and n(a)da for the 60 kHz and 30 kHz cases are given in Fig. 36 and Fig. 37, respectively. Performing a numerical integration based on the Simpson's discrete approximation leads to the following results:

For f = 60 kHz, the absorption coefficient due to bubbles is

$$\alpha = 4.34 \int_{0}^{\infty} \alpha_{a}(a) n(a) da \approx 4.34 (1.66 \times 10^{-1})$$
 $\alpha \approx 0.72 \text{ dB/m}.$  (99)

with

$$n(a_R) = 1000$$
  
 $n(a) \alpha a^{-4}$   
 $z = 6 m$ .

Adding the "normal attenuation" in sea water for f = kHz, yields a total absorption coefficient of

$$\alpha \approx 0.74 \text{ dB/m}$$
 (100)

For f = 30 kHz, the absorption coefficient due to bubbles is

$$\alpha = 4.34 \int_{0}^{\infty} \sigma_{a}(a) n(a) da = 4.34 (2.975 \times 10^{-2})$$
 (101)

 $\alpha \approx 0.13 \text{ dB/m}$ 

with

$$n(a_R) = 20$$

$$n(a) \alpha a^{-2}$$

$$z = 6 m$$
.

Adding the "normal attenuation" in sea water for  $f=30\ \mathrm{kHz}$  yields a total absorption coefficient in the incoherent case of

$$\alpha \approx 0.14 \text{ dB/m}$$
 (102)

# E. SUMMARY AND DISCUSSION OF THE BUBBLE ATTENUATION

As seen from the above, the attenuation due to bubbles in the subsurface ocean layer is important for high frequency and high Sea State.

Accounting for the effect of bubbles at Sea State 3 in summary we found the following absorption coefficients in dB/m:

$$f = 60 \text{ kHz} \qquad \qquad f = 30 \text{ kHz}$$
 coherent incoherent coherent incoherent 
$$\alpha = 0.88 \qquad \alpha = 0.72 \qquad \alpha = 0.17 \qquad \alpha = 0.13$$

The main assumptions were:

- -the back scattering is small compared to that in other directions.
- -the scattering is mostly concentrated in the forward direction.

-the angle  $\theta_{as}$  between the scatterer and the receiver is small, i.e.,  $\theta_{as} \approx 0^{\circ}$ .

-the depth of the receiver is z = 6 m.

-the sea state is 3.

The range dependent portion of the passive sonar Eq. (1) TL=-20logR- $\alpha R$  for both the 60 kHz and 30 kHz scattering results can now be compared with the reference data obtained in Section V.

For f = 60 kHz, Fig. 22 gives TL=-20logR- $\alpha R$  as a function of R with

- 1.  $\alpha$  = 0.021 dB/m, the "normal attenuation" due to chemical and viscous relaxation processes.
- 2.  $\alpha$  = 0.9 dB/m, the total attenuation including the effect of bubbles in the coherent case.
- 3.  $\alpha$  = 0.74 dB/m, the total attenuation including the effect of bubbles in the incoherent case.

For the same example as in the reference model, Fig. 22 yields the detection ranges for 60 kHz.

$$R = 1200 \text{ m for } \alpha = 0.021 \text{ dB/m}$$
 (103)

 $R = 60 \text{ m for } \alpha = 0.90 \text{ dB/m}$ 

 $R = 70 \text{ m for } \alpha = 0.74 \text{ dB/m}$ 

Not surprisingly, this result seems to exclude the possibility or having both a searching and attack depth near the surface, i.e., z = 6 m, for a torpedo system operating in a high frequency region, f = 60 kHz.

Similarly, for f = 30 kHz, Fig. 23 gives TL=-20logR- $\alpha R$  as a function of R with

- 1.  $\alpha$  = 0.012 dB/m, the "normal attenuation" in sea water.
- 2.  $\alpha$  = 0.18 dB/m, the total attenuation including the effect of bubbles in the coherent case.
- 3.  $\alpha$  = 0.14 dB/m, the total attenuation including the effect of bubbles in the incoherent case.

For the same detection example as in the reference model, Fig. 23 yields the detection ranges for 30 kHz:

$$R = 2400 \text{ m for } \alpha = 0.012 \text{ dB/m}$$
 (104)

 $R = 250 \text{ m for } \alpha = 0.18 \text{ dB/m}$ 

 $R = 310 \text{ m for } \alpha = 0.14 \text{ dB/m}.$ 

Again, the bubbles give a major decrease in the detection range. A detection range of R = 250 m seems marginally acceptable as the turn rate requirement for a pursuit homing trajectory may become excessive.

The above results are summarized in Tables III and IV for the 60 kHz and 30 kHz, respectively.

#### F. THE REFRACTION BY BUBBLES

The presence of bubbles in the sea water affects the speed of sound (phase speed) primarily because of the change in compressibility. The derivation of this dispersive relationship on the sound speed has been done by H. Medwin

TABLE III DETECTION PARAMETERS AND RANGES FOR f=60 kHz

										ĺ
SL	Id	NL	P <sub>D</sub>	P FA	DT	Detecti	Detection Range (m)	(m)	ss f	ŧ
ф	фВ	дВ			дВ	$\alpha = .021$	α=.021 α=.9 α=.74	74		kHz
100	-180	-124	.5	10-6 -6.5	-6.5	1200	09	70	m	09
100	-180	-124	.5	10-99 0	0	1000	ţ	l (	m	09
										ļ

TABLE IV

DETECTION PARAMETERS AND RANGES FOR f=30 kHz

4	kHz	30
SS		m m
Detection Range (m) SS	α=.14	310
tion Rar	$\alpha$ =.012 $\alpha$ =.18 $\alpha$ =.14	2400 250 2200 220
Detec	α=.013	2400
DT	дВ	-6.5
PFA		10 <sup>-6</sup> 10 <sup>-99</sup>
PD		5. 5.
NĽ	дв	-124
DI	dB	-180
SL	dB	90

[Ref. 22]. H. Medwin showed that the bubbles with resonant frequencies greater than the incident frequency decrease the sound speed, while bubbles with resonant frequency lower than the incident wave increase the sound speed.

Furthermore, H. Medwin [Ref. 22] predicts the sound speed gradient due to bubbles as a function of depth for a frequency range and wind speed compatible with our domain of interest.

He found the gradients

$$g = \partial c/\partial z = 0.26 \text{ s}^{-1} \text{ at } z = 0 \text{ m}.$$
  
 $g = \partial c/\partial z = 0.016 \text{ s}^{-1} \text{ at } z = 10 \text{ m}.$   
 $g = \partial c/\partial z = 0.005 \text{ s}^{-1} \text{ at } z = 20 \text{ m}.$ 

For comparison, the sound speed gradient due to pressure in an isothermal water is

$$g = 0.017 s^{-1}$$

This shows that the rays in the top 10 m are strongly influenced by bubbles. However, with respect to our surface scattering model (Section VI) where both the source and the receiver are situated very close to the surface and where relatively short propagation distances are encountered, this refraction phenomenon is assumed to have negligible effect.

# VIII. THE TURN RATE LIMITATION

As pointed out in the previous section, the presence of bubbles near the surface may significantly reduce the range at which the target can be detected.

In this section, the turn rate necessary during pursuit homing at the previous estimated detection ranges will be studied. A computational procedure will be used to determine the range of angles on the bow (AOB) of the target at the beginning of homing which lead to miss on the initial attack. A trajectory where the torpedo velocity vector always is directed towards the instantaneous target position is called a pursuit homing trajectory. The derivation of the pursuit homing trajectory follows

P. van Nostrand [Ref. 23] and is based on the geometry of Fig. 38, where

r = approach angle, i.e., angle between ship
 velocity vector and the line of sight.

 $AOB = 180 - \phi$ 

The equation of motion is obtained by taking the component along r and the normal to r, yielding

$$\dot{\mathbf{r}} = \mathbf{V}_{\mathbf{s}} \cos \phi - \mathbf{V}_{\mathbf{m}} \tag{105}$$

$$r_{\phi}^{\bullet} = -V_{S} \sin \phi \tag{106}$$

where

r = range rate

 $\dot{\phi}$  = turn rate

V<sub>s</sub> = target speed

 $V_{T}$  = torpedo speed.

Dividing Eq. (105) by Eq. (106) yields:

$$\frac{\dot{r}}{r} = \left(\frac{V_{T}}{V_{S}} \frac{1}{\sin \phi}, - \cot \phi\right) \dot{\phi} \quad ; \quad r \neq 0$$

and defining

$$\frac{\mathbf{v_T}}{\mathbf{v_s}} \stackrel{\Delta}{=} \mathbf{p}$$

yields

$$\frac{\dot{\mathbf{r}}}{\mathbf{r}} = \left(\frac{\mathbf{p}}{\sin\phi} - \cot\phi\right)\dot{\phi} \tag{107}$$

$$r = K \frac{(\sin\phi)^{P-1}}{(1+\cos\phi)^{P}}$$
 (108)

Then, introducing the initial conditions:  $r_0$ ,  $\phi_0$  where

 $r_0$ : initial detection range

 $\phi_{\Omega}$ : initial approaching angle

yields

$$K = r_0 \frac{(1 + \cos \phi_0)^P}{(\sin \phi_0)^{P-1}}$$
 (109)

For the geometry of Fig. 38, the turning rate is given by Eq. (105).

$$\dot{\phi} = -\frac{V_S}{r} \sin \phi \tag{110}$$

Substituting Eq. (108) into Eq. (110) yields:

$$\dot{\phi} = -\frac{V_s(1+\cos\phi)^P}{K(\sin\phi)^{P-2}} \tag{111}$$

From Eq. (108) we see that r + 0 as  $\phi + 0$ , i.e.,  $\phi$  tends to zero as the torpedo approaches the target ship. It is further of interest to determine the limiting value of the turning rate as the torpedo approaches the target for various values of the parameter p. This is done by taking the derivative of  $\dot{\phi}$  with respect to  $\phi$ . Thus, from Eq. (109) we get

$$\frac{d\dot{\phi}}{d\phi} = -\frac{V_s}{K}(\sin\phi)^{1-P} \left[1 + \cos\phi\right]^P \left[2\cos\phi - p\right] \quad (112)$$

For 1<p<2, we see that Eq. (112) is zero at

$$\cos \dot{\phi} = P/2 \tag{113}$$

$$\dot{\phi} = \cos^{-1}(P/2)$$

with an associated maxima

$$|\dot{\phi}_{\text{max}}| = \frac{V_{\text{s}} (1+P/2)^{P} [1-(P/2)^{2}]^{1-P/2}}{K}$$
 (114)

Furthermore, the turn rate for p<2 at impact is zero as the limit of Eq. (109) yields:

$$\lim_{\phi \to 0} \dot{\phi} = -\frac{V_s}{K} \lim_{\phi \to 0} (\sin \phi)^{2-P} [1+\cos \phi]^P \to 0$$
 (115)

For p=2 we see from Dq. (111) that

$$\lim_{\phi \to 0} \dot{\phi} = -\frac{v_s}{K} \quad \lim_{\phi \to 0} (1 + \cos \phi)^2 = -\frac{4v_s}{K}$$
 (116)

For p>2 we see from Eq. (111) that there is no zero value of  $d\dot{\phi}/d\phi$  between  $\phi=0^{\circ}$  and  $\phi=180^{\circ}$ , since all terms of Eq. (112) are nonzero terms for  $0^{\circ}<\phi<180^{\circ}$ . Furthermore, the turn rate for p>2 at impact is

$$\left|\lim_{\phi \to 0} \dot{\phi}\right| = \left|-\frac{V_{T}}{K} \lim_{\phi \to 0} \frac{(1+\cos\phi)^{P}}{(\sin\phi)^{P-2}}\right| \to \infty$$
 (117)

as  $(\sin \phi) \rightarrow \infty$  since (p-2) > 0.

Furthermore, as  $\phi \rightarrow 180^{\circ}$ , we get from Eq. (112)

$$\lim \frac{d\dot{\phi}}{d\phi} = \frac{V_o}{K}(2+p) \lim (\sin \phi)^{1-p} (1+\cos \phi)^p$$

$$\phi \to 180$$

Evaluating

$$\lim (\sin \phi)^{1-P} (1+\cos \phi)^{P} = \lim (\sin \phi) (\frac{1+\cos \phi}{\sin \phi})^{P}$$

$$\phi + 180$$

$$\phi + 180$$

by applying 1' Hopitale rule to the term

$$\lim_{\phi \to 180} \frac{1 + \cos \phi}{\sin \phi} = \lim_{\phi \to 180} \frac{\sin \phi}{\cos \phi} \to 0$$

Thus, the product  $\sin \phi (\frac{1+\cos \phi}{\sin \phi})^P$  approaches zero for any p>1, since both terms in this product approach zero as  $\phi+180$ .

Figure 39 shows a plot of computed values of  $|\dot{\phi}K/V_{\rm S}|$  for different values of p>1. From this we can draw the following summarizing conclusions.

For I<p<2 the turn rate has

- -a maximum value at  $\phi = \cos^{-1}(p/2)$
- -the zero value at  $\phi=0^{\circ}$  and  $\phi=180^{\circ}$ .

For p=2 the turn rate

- -is zero at  $\phi=180^{\circ}$ .
- -monotonically increasing with decreasing  $\phi$  approaching the value (4V<sub>c</sub>/K) when  $\phi$ =0°.

For p 2 the turn rate

- -is zero at  $\phi=180^{\circ}$ .
- -is monotonically increasing with decreasing  $\varphi$  approaching  $\infty$  as  $\varphi {=}\, 0^{\text{O}}$  .

As seen from the above for p>2, the turn rate increases monotonically with decreasing approaching an infinite turn rate to hit a point target. To avoid this singularly we must make some provision. If the torpedo's maximum turn rate is exceeded only at some very small range, a hit is likely.

Figure 40 illustrates the hit criterion used. Assume a rudder of length  $L_{\rm R}$  is situated directly behind the propeller which is idealizer as an acoustic point source.

If the torpedo becomes turn rate limited at some range r=r', it at best can proceed along a circular path which lags the desired pursuit trajectory, or at worst it can

loose acoustic contact and go into "hold-in," maintaining a constant heading at the angle  $\phi$ '. In this last case, it will cross the line of target ship's course in the time:

$$t = r'/V_r \tag{118}$$

If this advance is less than the length of the rudder  $L_{\rm R}$ , the torpedo will impact the rudder. Hence, the limiting condition is

$$\frac{r^1}{p} = L_R$$

$$r' = pL_{R} \tag{119}$$

For this analysis, we have arbitrarily chosen

$$L_{R} = 3 m \tag{120}$$

Thus, the torpedo's turn rate has not been exceeded when the range to the target is

$$r' = pL_R = 3 p$$
 (121)

and a hit is assumed.

Now we can analyze the cases p>2 and 1<p<2 on the same footing. If we match the torpedo's maximum turn rate to a particular range r', it is certain that its turn rate has not been exceeded earlier in the run.

This turn rate-range matching is done by substituting the "hit-range" defined as r' = 3 p into Eq. (106) and solving it for  $\sin \phi$ , yielding

$$\dot{\phi}_{\text{max}} = -\frac{V_{\text{S}}}{3p} \sin \phi$$

$$\sin \phi = -\frac{\dot{\phi}_{\text{max}}(3p)}{V_{\text{S}}}$$

Since  $\mathring{\phi}$  is always negative,  $\sin \ \varphi$  is always positive and equal to

$$\sin \phi = \frac{3p \left| \dot{\phi} \right|_{\text{max}}}{V_{\text{s}}} \tag{122}$$

Two values of  $\phi$  satisfies this equation, and they are denoted

$$\phi_{A} = \sin^{-1} \frac{3p |\phi| \max}{V_{S}}; \text{ for } \phi \leq 90^{\circ}$$

$$\phi_{B} = 180^{\circ} - \phi_{A}$$
(123)

A unique value of K may now be found using Eq. (111) for each of the angles  $\varphi_{A}$  and  $\varphi_{B}.$ 

$$K = \frac{V_s(1+\cos\phi)^p}{|\dot{\phi}|_{max}(\sin\phi)^{p-2}}$$

These values of K are designated as  $K_A$  and  $K_B$ , and for each there is a corresponding value of  $\phi_O$  from Eq. (109) with  $\phi_O$  given by the initial detection range

$$(\phi_{O})_{A}$$
 and  $(\phi_{O})_{B}$ 

In the case of 1<p<2, the turn rate does not necessarily increase monotonically during the pursuit homing trajectory, and we must check that either  $\phi_A$  or  $\phi_B$ , respectively, is not beyond the angle corresponding to  $\phi_{max}$ .

As seen from Eq. (113), the turn rate reaches a maximum at an angle given by

$$\phi^* = \cos^{-1}(P/2)$$

with a corresponding turn rate given by

$$|\dot{\phi}|_{\text{max}} = \frac{V_{s}(1+P/2)^{P} [1-(P/2)^{2}]^{1-P/2}}{K}$$

Thus, the value of K = K\* for which the limiting turn rate is achieved

$$K = \frac{s^{(1+P/2)} [1-(P/2)^{2}]^{1-P/2}}{|\dot{\phi}|_{max}}$$

It is important to note that, if  $\phi_A^{<\phi*<(\phi_O)}_A$ , the "A" trajectory is invalid since the maximum turn rate of the torpedo, reached at the range r=3p at  $\phi=\phi_A$  is exceeded earlier in the trajectory. In that case, the limiting trajectory is the "\*" trajectory. Along the same lines, we argue that the "B" trajectory always is a limiting trajectory, since the equality  $\phi_B^{<\phi*}$   $(\phi_O^{})_B^{}$  cannot be satisfied. This follows from the fact that

and  $\phi_B^0$  90° as  $\phi_B^0$  is the supplement of  $\phi_A^0$ .

Below some critical ship speed, the torpedo will not be turn rate limited. This speed is obtained when the trajectory is normal to the ships velocity vector,  $\phi = 90^{\circ}$ , at a range of r = 3p.

Thus, from Eq. (106), we obtain

$$(3p) \left| \dot{\phi} \right|_{\text{max}} = (V_s)_{\text{NL}} \sin 90^{\circ}$$

yielding

$$(V_s)_{NL} = (3p) |\dot{\phi}|_{max}$$
 (124)

Then introducing  $p = V_T/(V_S)_{NL}$ , we get

$$(v_s)_{NL} = [3v_T |\dot{\phi}|_{max}]^{\frac{1}{2}}$$
 (125)

Furthermore, we see from Eq. (108) that for  $\phi=90^{\circ}$ 

$$(K)_{NL} = 3p \tag{126}$$

Again, by probing Eq. (88) we can obtain the corresponding values of  $\phi_{\rm O}$ , designated  $(\phi_{\rm O})_{\rm NL}$ , where the subscript "NL" is used to indicate the "no-limit" boundary point.

The computational procedure is based on:

- Assuming a torpedo speed V = 35 kts.
- 2. Using an initial detection range (beginning of homing)  $r_0 = 250 \text{ m}$ .
- 3. Assuming the following maximum turn rates:
  - a. 8 o/s b. 12 o/s c. 16 o/s
  - d. 24 o/s e. 36 o/s f. 48 o/s
- 4. The following range of target speeds  $0 < V_g < 25$  kts

The aim of the computation is to determine whether the limiting  $\phi_0$ , and hence AOB, is governed by  $\phi^*$  or by the turn rate at the range r=3p.

The calculations are devided into two parts, and are performed on a TI 59 calculator.

For a given ship speed, Part I gives the sequential calculations of  $\phi_A$ ,  $K_A$ ,  $\phi_B$ ,  $K_B$ , 0\* and K\* for each of the turn rates. The computer program is given in Appendix E.

Then, in Part II, the probe calculation for  $(\phi_O)_A$ ,  $(\phi_O)_B$ ,  $(\phi_O)^* = (\phi_O)_{A,B,*}$  are performed. The program is given in Appendix E.

The limiting results are given on a polar plot, Fig. 41 with

$$(AOB)_{A,B,*} = 180^{\circ} - (\phi_{\circ})_{A,B,*}$$

As seen from Fig. 41, for a target speed of 15 kts, we need AOB>85° at  $\phi_{\rm O}$  for a maximum turn rate 16 o/s in order to have a hit at the first attack.

# IX. CONCLUSIONS AND RECOMMENDATIONS

The detection performance of a passive homing torpedo used against shallow-draft surface ships operating in moderate sea states was investigated. Attention was focused on the effects of scattering from the randomly rough sea surface and scattering and absorption from the bubble-dominated inhomogeneous layer just below the sea surface. The effects of these two scattering mechanisms were separately estimated and their relative importance were compared.

The passive sonar equation was used to predict the performance of the homing system, and the detection range considering these two scattering effects was obtained and compared to the detection range based on a reference model. An idealized propagation model was used as reference of comparison. This reference model was based on a noise source model for a cavitating propeller, the operational characteristics for a square-law detector, and a transmission model associated with a homogeneous, unbounded medium.

Due to high frequency, moderate sea state and low grazing angles, the scattering from the randomly rough sea surface was found to be dominated by the direct path.

This result includes effects from geometrical shadowing.

The effect of scattering and absorption from the bubble-dominated, inhomogeneous subsurface layer was investigated using multiple scattering theory. Both the coherent and incoherent limits were investigated by incorporating the associated absorption coefficient into the transmission equation.

The effect on the sound speed from the bubble content was found to be negligible.

At the assumed depth setting of 6 m for the torpedo's search and attack phase, the scattering from the bubbles increased the transmission loss. This increase depended on the frequency and the wind speed.

Two operating frequencies were investigated, 60 kHz and 30 kHz. For both cases, bubbles significantly decreased the detection range.

For a torpedo system operating at the high frequencies, e.g., 60 kHz, the result indicates the inadvisability of using a searching and attack depth near the surface, i.e., z = 6 m.

For an operating frequency around 30 kHz, the calculated detection ranges is such that the turn rate requirements for a pursuit homing trajectory become excessive. For a maximum turn rate of 16 o/s, this limitation can be avoided

by adapting a tactical procedure where the angle on bow (AOB) at the beginning of the torpedo attack is greater than  $85^{\circ}$ .

At sea state 3, the results show a consistent and general trend towards the need for lower operating frequency in order to increase the detection range. An operating frequency below 30 kHz seems indicated.

Furthermore, a search depth below the bubble-dominated subsurface layer, i.e., z>15-20 m would result in an increased detection range.

To reduce the operational limitations induced by the scattering and absorption effects, a high maximum turn rate together with a variable speed capability, where  $p\leq 2$  would be beneficial.

The result of this analysis has clearly demonstrated the importance of environmental factors on the torpedo capability, and is useful in giving insight into the behavior of a homing torpedo during its critical attack phase.

A valuable follow-on of this study would be an investigation of the effects of the bubble-dominated subsurface layer on target validation and pitch plane steering when the torpedo search depth is 50-60 m.

### APPENDIX A

### DETAILED OCEANOGRAPHIC BACKGROUND MATERIAL

## A. GEOGRAPHIC DESCRIPTION

The Norwegian coastal waters constitute the eastern boundary of the Norwegian Sea. Although some general aspects related to the Norwegian Sea will be covered, this analysis will be concentrated on the Norwegian coastal waters above 68°N.

#### B. WIND

The northern region of the Norwegian Sea is affected by the Polar Easterlies and the southern region by the prevailing Westerlies. There are two dominant air masses which are relatively permanent:

-The Greenland high.

-The Iceland low.

These pressure systems produce storms which are carried across the Norwegian Sea in a belt from Iceland towards the Norwegian Coast causing steady precipitation and wind most of the year. The steep Norwegian Coast has a considerable influence on the winds and consequently also on the waves in the coastal waters. The main general modifications are that the streamlines tend to run parallel

to the coast and that wind and sea increases with distance from the sheltered coast into open ocean.

Strong local variation may occur. The most important of these are the marked local increase in wind speed in areas where the coast sharply changes direction. One such "corner effect," caused by the confluence of the streamlines, occurs near "Nordkapp" (North Cape). Also of importance are monsoonal effects due to the different heat capacities between the ocean and the continent. Drainage of cold air from the inland valleys in the wintertime causes a marked increase in the wind speed in several areas along the coast. Most of these coastal effects are significantly dissipated at distances of approximately 50 nmi. from the coast. The fact that the wind tends to blow along the coast is clearly demonstrated in Figs. 12 and 13 which include data from weather stations from "Hillesoy" to "Ona" and "Myken" to "Furuholmen" respectively. The high frequency of offshore winds is caused by the drainage of cold air from the inland valleys during winter time. That this phenomenon is closely connected to the coast is illustrated by the fact that it is missing at weather station "Skomvaer" situated approximately 50 nmi. off the main coast. A frequency distribution of observed wind speeds along the coast, obtained from Ref. 1, is presented in Table V. A summary of this table follows:

TABLE V

FREQUENCY DISTRIBUTION OF WIND SPEED IN PERCENT PER YEAR AT WEATHER STATIONS ALONG THE NORWEGIAN COAST

m/sec	0-7	8-13	14-20	<b>≟</b> 21
Beaufort	0-4	5+6	7+8	≧9
Ferder	67	28	5	0.1
Lyngor	79	19	2	0.2
Lista	67	28	5	0.2
Utsira	73	22	5	0.3
Hellisöy	77	19	4	0.2
Krakenes	58	28	11	2.7 Jan-Dec
Ona	70	22	7	0.7 1949-1975
Sula	61	30	8	1.1
Nordoyan	49	35	14	1.9
Myken	64	26	9	1.2
Skomvær	58	31	10	0.9
Andenes	79	18	3	3.1
Torsvar	72	23	5	0.4
Fruholmen	54	32	12	1.8
Vardo	75	22	3	0.1
Biornoya	63	31	6	0.4 1956-1975
Polarfront	46	30	14	1.4

The highest winds are reported from the areas between 62°N and 68°N. The frequency of high winds in this area are significantly greater than those found at stations to the south of 62°N and also to the north of 68°N. The wind condition around North Cape, are very severe. This area can be compared with the other coastal area of high wind speed such as "Stadt" (represented by the weather station, "Krakenes"). In these areas the frequency of storms is greater than at "Polarfront" situated in the open Norwegian Sea.

### C. WAVES

Frequency distribution of significant wave heights are represented in Table VI.

The station north of 68°N is characterized by comparatively small frequency of high waves. Even at "Furuholmen" where wind conditions are very severe, the frequency distribution of significant wave heights is similar to more sheltered areas like "Utsira."

The seasonal variation for the area of interest around 70°N is given in Figs. 3 and 4. The average monthly distribution of significant wave heights for a typical station like "Andenes" is given in Fig. 3 and Fig. 4 gives the yearly distribution for this station.

TABLE VI

FREQUENCY DISTRIBUTION OF SIGNIFICANT WAVE
HEIGHT IN PERCENT AT THE WEATHER STATION "ANDENES"

STATI	ON: And	denes				Y	EARS:	1949-	L972
SS	0+1+2	3	4	5	6	7	8	9	
<sup>H</sup> s	0 -	0.5 -	1.3	- 2.5 - 3.9		6.0 8.9	- 9.0- 13.9	13.9	N
JAN	15.3	26.9	33.3	15.5	6.6	2.3	0.18	0.00	2542
FEB	17.7	28.7	31.3	14.2	5.9	2.0	0.14	0.00	2295
MAR	18.1	28.9	30.8	14.3	5.8	1.9	0.17	0.00	2529
APR	24.5	33.1	27.4	10.8	3.4	0.8	0.04		2293
MAY	30.9	35.5	23.9	7.7	1.7	0.3	0.01		1720
JUN	37.4	36.9	20.2	4.8	0.6	0.1	0.00		1565
JUL	42.6	36.2	17.1	3.7	0.4	0.0	0.00		1618
AUG	40.6	36.4	18.0	4.3	0.5	0.1			1621
SEP	29.7	34.3	24.4	8.9	2.1	0.5	0.01		2301
OCT	21.8	31.3	29.4	12.4	4.1	1.0	0.01		2374
NOV	21.7	31.5	29.9	11.7	4.1	1.1	0.06		2303
DEC	18.5	30.1	32.2	13.2	4.7	1.2	0.09		2379
YEAR	26.6	32.5	26.5	10.1	3.3	0.9	0.06	0.00	

N = Number of Observations

SS = Class Interval, State of Sea

H<sub>S</sub> = Significant Wave Height

#### D. AMBIENT NOISE

Due to the fact that the Norwegian Sea is physically separated from the Atlantic by the Faeröy-Shetland-Iceland ridge, and from the Greenland Sea by the Jan Mayan ridge, little long distance shipping noise is transferred into the area. This, combined with relatively low shipping traffic in the central and northern parts of the Norwegian Sea, produces a relatively low ambient noise level for the frequency band 100<f<1000 Hz, especially when noise from marine life is not included. Further, in the absence of nearby shipping and marine life, the ambient noise level in the frequency band 1<f<50 kHz is, according to Ref. 4, dominated by the wind.

A typical area for the central part of the Norwegian Sea can be represented by the weather station "Polarfront" at 66°N, 2°E. Reference 1 shows that there is approximately a 15% chance of finding wind forces of Beaufort>6. These effects predict a moderate ambient noise level in the frequency range 100 Hz-50 kHz for the central and northern regions of the Norwegian Sea.

The shallow coastal Norwegian waters are, according to Ref. 4, typically 5-10 dB noisier than the corresponding deep waters. However, great variability caused by local traffic, fishing fleet activity, marine life, and local wind conditions makes ambient noise level prediction

difficult in these areas. This means that accurate ambient noise level determinations have to be made on the spot, as it is both site and time dependent.

A noticeable influence on the ambient noise level is rain, which is a year around feature along the Norwegian coast. As seen from Fig. 8, taken from Ref. 4, rain has a tendency to produce a constant high ambient noise level over a large frequency range, thus dominating other effects. Furthermore, for the upper frequency of interest, i.e., around 60 kHz the lower bound for the ambient noise is determined by the thermal agitation, see Fig. 8.

In determining the figure of merit (FOM) for a passive sonar system, the noise level will be the larger of either the self noise or the ambient noise. For a torpedo the self noise will typically be dominant.

## E. SOUND SPEED PROFILES

Again, concentrate on data relevant to Norwegian coastal waters. According to Ref. 6, which covers the southern part of the Norwegian coast, low sound speeds are common because of the influence of water from the Baltic Sea combined with fresh water drainage from the fjords. Furthermore, great variability, both seasonal and within seasons, is encountered. Figure 9 obtained from Ref. 5 gives a picture of the sound speed profiles

for the northern Norwegian coast. Again, large variations are common. Noticeable in both sets of data is a typical seasonal pattern of strong cooling of the surface layer during winter and a similarly strong heating during summer. Furthermore, note that the minimum and maximum are relatively shallow, i.e., less than 50 m.

Also characteristic is the influence of the cold and fresh melt waters drained out through the fjord-arms during spring and summer.

To illustrate the sonar problems associated with these sound speed profiles, ray paths for the extremes of Fig. 9 are shown in Figs. 10 and 11, where the source is 3 m below the sea surface.

## APPENDIX B

## SURFACE SCATTERING TI 59 PROGRAM

## A. INTRODUCTION

This program gives specular scattered power at the receiver versus incoming power at the randomly rough surface in the high frequency limit according to Eq. (70).

Shadowing of surface areas by other parts of the boundary are taken into account by the bistatic shadowing function  $S(\theta)$ .

Furthermore, the program gives the effect of the randomly rough surface compared to the idealized free-field condition as expressed in Eq. (80). The results of these calculations is given in Fig. 27.

#### B. PROGRAM STEPS

A block-diagram of the computer program is given in Fig. 26.

The program uses the partitioning ratio of program to data space according to code 4 OP17. The users instructions are as follows:

Procedure	Enter	Press	Display
Enter data	Detection range	2nd A	$R_{D}^{1}$
Enter data	Source depth	R/S	h <sub>s</sub> 1
Enter data	Receiver depth	R/S	$\frac{h_r^1}{\phi^1}$
Enter data	Beam width	R/S	φ1
Enter data	Wind speed	R/S	$w^1$
Calculate $\theta$ , $R_1, R_2, A$		2nd B	$A \\ (\theta, R_1, R_2, A)^1$
Calculate <ζ <sup>12</sup> >		2nd C	<5 <sup>12</sup> > <sup>1</sup>
Calculate v		2nd D	$v^1$
Calculate erfc v	gain spile	A	${ t erfc} \ { t v}^{ t l}$
Calculate S(θ)		В	<b>S</b> (θ) <sup>1</sup>
Calculate $\frac{\langle s^2 \rangle}{\langle p_1 p_1^* \rangle}$		С	$\frac{\langle s^2 \rangle^1}{\langle p_1 p_1 * \rangle}$
Calculate $\Delta$ IL		D	ΔIL <sup>1</sup>

<sup>&</sup>lt;sup>1</sup>These values are printed automatically if the calculator is connected to the PC-100A Print Cradle.

For the error function complement we have

$$erfc(v) = \frac{2}{\sqrt{\pi}} \int_{v}^{\infty} e^{-\alpha^2} d\alpha$$

in contrast to the normal distribution

$$Q(u) = \frac{1}{\sqrt{2\pi}} \int_{\alpha}^{\infty} e^{-t^2/2} dt$$

However, there is a linear relationship between the two functions.

The numerical equation used to calculate the erfc(v) is a modified program from Texas Instruments [Ref. 24].

 $erfc(v) = Z(v) [b_1\alpha + b_2\alpha^2 + b_3\alpha^3 + b_4\alpha^4 + b_5\alpha^5]$ 

where

 $\alpha = \frac{1}{1+\rho\nu}$ 

p = .231649

 $b_1 = .451673691$ 

 $b_2 = -.504257336$ 

 $b_3 = 2.51939026$ 

 $b_4 = -2.563346623$ 

 $b_5 = 1.881292139$ 

The program steps are listed below, giving location (LOC), code (COD), key symbol (KEY), and comments.

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016	99		039	54		062	53	(
017	91		040	54		063	43	RCL
018	42		041		STO	064	06	06
019	04		042	05		065		SIN
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                              76 LBL
```

## APPENDIX C

## BUBBLE DYNAMICS TI 59 PROGRAM

# A. INTRODUCTION

This program gives the resonant frequency  $f_r$  and the damping constant  $\delta$  for bubbles according to Eq. (92). Furthermore, the program gives scattering cross section  $\sigma_s$ , extinction cross section  $\sigma_e$ , and absorption cross section  $\sigma_a$  as a function of bubble radius a, incoming frequency f, and depth z. The results of the calculations are given in Figs. 31 and 32.

# B. PROGRAM STEPS

The user's instructions are as follows:

Procedure	Enter	Press	Display
Enter data	Bubble Radius	2nd A	$\mathtt{a}^\mathtt{l}$
Enter data	Incoming Frequency	R/S	fl
Enter data	Depth	R/S	$\mathbf{z}^{1}$
Calculate X	<b>**</b>	2nd B	$x^1$
Calculate coshX and sinhX		2nd C	coshX <sup>l</sup> sinhX <sup>l</sup>
Calculate d/b		2nd D	d/b <sup>1</sup>
Calculate b	•	2nd E	b <sup>1</sup>
Calculate $\beta$		A	β <sup>1</sup>
Calculate f <sub>r</sub>		В	f <sub>r</sub> <sup>1</sup> 8 <sup>1</sup>
Calculate $\delta$		C	δ1
Calculate $\sigma$		D	$\sigma_{\mathbf{e}}^{1}$
Calculate $\sigma_s$ and $\sigma_a$		E	σ <sub>s</sub> 1 σ <sub>a</sub> 1

<sup>1</sup>These values are printed automatically if the calculator is connected to PC-100A Print Cradle.

The program steps are listed below giving location (LOC),

code (COD), key (KEY) and comments.

003 11 1 004 99 P 005 91 R 006 42 S 007 12 1 008 99 P 010 42 S 011 13 1 012 99 P 013 91 R 014 76 L 015 17 B 016 53 ( 017 53 ( 018 53 ( 017 53 ( 018 53 ( 019 53 ( 019 53 ( 020 53 ( 021 53 ( 021 53 ( 022 04 4 023 65 8 024 89 7 025 54 ( 026 65 8 027 43 B 028 12 1 029 54 ( 030 65 8 031 53 ( 031 53 ( 032 53 ( 033 93 ( 034 00 ( 035 00 ( 035 00 ( 035 00 ( 035 00 ( 036 035 00 ( 036 036 036 036 036 036 036 036 00 ( 036 036 036 036 036 036 036 036 00 ( 036 037 038 038 038 038 038 038 00 ( 037 038 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 038 00 ( 038 0	TO 04 TO 04 RT 04 RT 04 RT 04 RT 05	44 43 11 54 15 54 85 93 65 01 65 65 65 65 65 65 65 65 65 65 65 65 65	# RCL 11 ) +	086 087 088 089 091 092 093 094 095 097 098 099 100 101 112 113 114 115 117 118 112 112 112 112 112	01 01 99 PRT 91 R/S 76 LBL 18 C' 53 ( 43 RCL 01 01 22 INV 23 LNX 85 + 43 RCL 01 01 94 X/- 22 INV 23 LNX 54 ) 55 ÷ 02 02 99 PRT 53 ( 43 RCL 01 01 22 INV 23 LNX 54 ) 55 + 42 STO 02 02 99 PRT 53 ( 43 RCL 01 01 22 INV 23 LNX 54 ) 55 + 43 RCL 01 01 22 INV 23 LNX 54 )
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040 01 041 09	0 9 0	)83 11 )84 54 )85 42	11 ) STO	126 127 128	42 STO 03 03 99 PRT

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                                                        42 STO
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 332
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33 x^2
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                                                            91 R/S
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                            536
 485
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```

Maria Maria Maria

#### APPENDIX D

# NUMERICAL INTEGRATION TI 59 PROGRAM

## A. INTRODUCTION

In order to perform the numerical integration of  $\sigma n(a)da$ , a standard Texas Instrument's program was used [Ref. 25]. This program performs the integration by using Simpson's discrete approximation based on the following expression

$$I = \int_{x_0}^{x_n} f(x) dx \approx \frac{h}{3} (f_0 + 4f_1 + 2f_2 + 4f_3 + 2f_4 + \dots + 2f_{n-2} + 4f_{n-1} + f_n)$$

where

f(x) must be known at n+1 equally spaced points  $(f_0 - f_n)$ .

$$h = \frac{x_n - x_0}{n} ; x_n > x_0$$

 $n+7 \le number of data registers available$ n = number of subintervals = 2, 4, 6, ...

# B. PROGRAM INSTRUCTIONS

The program is taken from the master library program package by using the code 2nd Pgm 10 on the calculator.

The user's instructions are as follows

Procedure	Enter	Press	Display
Enter data	Subintervals	A	n <sup>1</sup>
Enter data	h	В	$\mathtt{h}^{\mathtt{l}}$
Enter data	Function values		
	0	С	0
	fo	R/S	$f_0^1$
	f <sub>1</sub>	R/S	$f_1^1$
	•	•	
	•	•	
	f <sub>n</sub>	R/S	$f_n^1$
Calculate	-	D	ıl

<sup>&</sup>lt;sup>1</sup>These values are printed automatically if the calculator is connected to PC-100A Print Cradle.

The program steps are listed below giving location (LOC), key symbol (KEY), and comments.

						000	~ ~	_
000		LBL	019		PRT	038	06	6
001	11	A	020	92	RTN	039	54	)
002	53	(	021	76	LBL	040	42	STO
003	50	lxl	022	52	EE	041	01	01
004	42	STO	023	00	0	042	32	x≶t
005	05	05	024	35	1/x	043		ADV
006	55	÷	025		RTN	044	92	RTN
007	02	2	026	76	LBL	045	76	LBL
800	54	)	027	12		046	50	lxl
009	42	STO	028	42	STO	047	76	ST*
010	02	02	029	03	03	048	01	01
011	22	INV	030	99	PRT	049	32	x≶t
012	59	INT	031	92	RTN	050	01	1
013	29	CP	032	76	LBL	051	44	SUM
014	22	INV	033	13	С	052	01	01
015	67	EQ	034	53	(	053	32	x\$t
016	52	EE	035		ĊE	054		PRT
017		RCL	036	85		055	92	RTN
018		05	037		x \sqrt	056	61	GTO
				-	<i>) -</i>	-		

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061
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      54)
                                   49 PRD
                             116
066
      42 STO
                                   04 04
                             117
067
      01 01
                             118
                                   43 RCL
068
      73 RC*
                                   04 04
                             119
069
      01 01
                            120
                                   98 ADV
070
      42 STO
                            121
                                   99 PRT
071
      04 04
                             122
                                   92 RTN
072
      76 LBL
073
      45 y<sup>X</sup>
      01 1
074
075
      22 INV
      44 SUM
076
      01 01
077
078
      53 (
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      73 RC*
      01 01
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081
      65 x
082
      04 4
      54)
083
084
      44 SUM
085
      04 04
086
      01 1
      22 INV
087
088
      44 SUM
089
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090
      22 INV
091
      97 DSZ
092
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      3\overline{3} \times^{2}
093
      53 (
094
095
      73 RC*
096
      01 01
      65 x
097
      02 2
098
099
      54)
100
      44 SUM
101
      04 04
102
      61 GTO
      45 y<sup>x</sup>
76 LBL
33 x<sup>2</sup>
73 RC*
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103
104
105
106
107
```

# APPENDIX E

# TURN RATE LIMITATION TI 59 PROGRAMS

# A. INTRODUCTION

The turn rate limitation calculations are divided into two parts with separate programs.

# B. PART I PROGRAM

For a given initial detection range and a given ship speed, Part I performs a sequential calculation of  $\phi_A$ ,  $K_A$ ,  $\phi_B$ ,  $K_B$ ,  $\phi^*$  and  $K^*$  for each of the maximum turn rates investigated, together with the "no limit" conditions  $(V_S)_{NL}$  and  $K_{NL}$  based on

$$\phi_{A} = \sin^{-1} \left( \frac{3p |\dot{\phi}| \max}{V_{S}}; \leq 90^{\circ} \right)$$

$$\phi_{B} = 180^{\circ} - \phi_{A}$$

$$K_{A,B} = \frac{V_{S}(1 + \cos \phi_{A,B})^{P}}{|\dot{\phi}|_{max}(\sin \phi_{A,B})^{P-2}}$$

$$\phi^{*} = \cos^{-1}(P-2)$$

$$K^{*} = \frac{V_{S}(1 + P/2)^{P}[1 - (P/2)^{2}]^{1 - P/2}}{|\dot{\phi}|_{max}}$$

$$(V_{S})_{NL} = (3V_{T} |\dot{\phi}|_{max})^{\frac{1}{2}}$$

$$K_{NL} = 3p$$

For p>2, the solution for \* and K\* are not valid. Furthermore, for  $V_s$  ( $V_s$ ) $_{\rm NL}$  no solutions are valid for any of the quantities.

The program is based on the fixed torpedo speed of  $V_{\rm T}$  = 35 kts (18 m/s) and an initial detection range of  $T_{\rm O}$  = =  $R_{\rm D}$  = 250 m.

The user instructions for the program are as follows:

Prodcedure	Enter	Press	Display
Enter data	Maximum Turn Rate	A	$ \phi _{\max}^{1}$
Enter data	Ship speed	В	$v_{\mathbf{T}}^{1}$
Calculate $\phi*$ and K*		С	φ*,K* <sup>1</sup>
Calculate $\phi_A$ and $\phi_B$		D	φ <sub>A</sub> , φ <sub>B</sub> 1
Calculate $K_{A}$ and $K_{B}$		E	K <sub>A</sub> ,K <sub>B</sub>

These values are printed automatically if the calculator is connected to PC-100A Print Cradle.

A listing of the program steps follows giving location (LOC), code (COD), key symbol (KEY), and comments.

000	76 LBL	012 69 OP	024 54 )
001	11 A	013 00 00	025 42 STO
002	42 STO	014 03 3	026 03 03
003	01 01	015 03 3	027 69 OP
004	99 PRT	016 69 OP	028 06 06
005	91 R/S	017 04 04	029 25 CLR
006	76 LBL	018 53 (	030 69 OP
007	12 B	019 01 1	031 00 00
800	42 STO	020 08 8	032 02 2
009	02 02	021 55 ÷	033 06 6
010	99 PRT	022 43 RCL	034 03 3
011	25 CLR	023 02 02	035 01 1

```
138 45 y<sup>x</sup>
                         087
                               03 3
036
     02 2
                                                   139 43 RCL
                               03 3
     07 7
                          880
037
                               02 2
                                                   140 03 03
     69 OP
                          089
038
                               03 3
                                                   141
                                                         54)
     04 04
                          090
039
                               05 5
                                                   142
                                                         54)
                          091
040
     53 (
                               01 1
                                                   143 65 x
                          092
     43 RCL
041
                               69 OP
                                                   144
                                                         53 (
042
     03 03
                          093
                               04 04
                                                    145
                                                         53 (
                          094
043
     65 x
                          095
                               53 (
                                                    146
                                                         01 1
044
     03 3
                               53 (
                                                    147
                                                         75
                          096
045
     54)
                                                             (
                               53 (
43 RCL
                                                    148
                                                         53
                          097
     42 STO
046
                                                    149 53 (
     10 10
                          098
047
                               03 03
55 ÷
02 2
54 )
22 INV
                                                    150 43 RCL
     69 OP
                          099
048
                                                    151 03 03
     06 06
                          100
049
                                                    152
                                                         55 ÷
050
     25 CLR
                          101
                                                   153
                                                         02 2
051
     69 OP
                          102
                                                         54 )
33 x<sup>2</sup>
                                                   154
                          103
052
     00 00
                                39 COS
                                                   155
053
     04 4
                          104
                                54)
                                                   156
                                                          54)
     02 2
03 3
                          105
054
                                54)
                                                   157
                                                          54)
                          106
055
                                                         45 y<sup>X</sup>
53 (
                                42 STO
                                                   158
056
057
                          107
     06 6
                                                   159
160
                                04 04
                          108
     03 3
                                                         01 1
                          109
                                69 OP
058
     01 1
                                                    161
                                                          75 -
                          110
                                06 06
     02 2
059
                                                    162
                                                         53 (
                               25 CLR
     07 7
                          111
060
                               69 OP
00 00
02 2
                                                    163 43 RCL
                          112
     69 OP
061
                                                    164 03 03
     04 04
                          113
062
                                                         55 ÷
02 2
                                                    165
                          114
063
     53 (
                               06 6
                                                    166
064
                          115
     53 (
                               05 5
                                                    167
                                                          54)
065
     53 (
                          116
                               01 1
69 OP
04 04
53 (
                                                    168
                                                          54)
                          117
066
     03 3
                                                    169
                                                          54)
                          118
     65 x
067
                                                     170
                                                          54)
     01 1
                          119
068
                                                          55 ÷
                                                     171
                          120
069
     08 8
                                                          43 RCL
                               53 (
53 (
                                                     172
070
                          121
     54)
                                                     173
                                                          01 01
                          122
071
     65 x
                               53 (
43 RCL
02 02
                                                    174
                                                          54 )
072
                          123
     43 RCL
                                                           42 STO
                          124
125
                                                    175
073
     01 01
                                                          05 05
                                                    176
     54 )
34 √x
074
                               65 x
53 (
                                                     177
                                                           69 OF
075
                          126
                          127
128
                                                           06 06
076
077
078
                                                     178
      54)
                                                           91 R/S
      42 STO
11 11
69 OP
                               53 (
                                                     179
                                                           76 LBL
                                01 1
                                                     180
                          129
                                85 +
53 (
                                                           14 D
                          130
                                                     181
079
                                                           25 CLR
                                                     182
      06 06
                          131
 080
                                                           69 OP
                                                     183
                          132
                                43 RCL
      91 R/S
 081
                                                           00 00
                                03 03
                                                     184
      76 LBL
                          133
 082
                                                           03 3
                                55 ÷
                                                     185
                          134
 083
      13 C
                                02 2
                                                     186
                                                           03 3
      25 CLR
                          135
 084
                                                     187
                                                           02 2
      69 OP
                                 54 )
 085
                          136
                                                          03 3
                                                     188
                                 54)
                           137
 086
      00 00
```

```
240 06 06
                                                 291
                                                     54)
189
    01 1
                     241
                             91 R/S
76 LBL
                                                292
                                                      54)
190
    03 3
                       242
243
                                                293
                                                     54)
    69 OP
191
                                                294
                                                      42 STO
    04 04
                              15 E
192
                        244
                                                295
                                                      08 08
                              25 CLR
    53 (
193
                                                      69 OP
                                                296
                        245
                              69 OP
194
     53 (
                              00 00
                                                297
                                                      06 06
                        246
195
     53 (
                                                      25 CLR
                                                298
                              02 2
                        247
196
     53 (
                                                299
                                                      69 OP
                        248
                              06 6
197
     53 (
                                                 300 00 00
                        249
                              01 1
198
     43 RCL
                                                 301 02 2
                              03 3
                        250
199
     03 03
                                                      06 6
                                                 302
                        251
                             69 OP
200
     65 x
                                                 303 01 1
                        252
                              04 04
     03 3
201
                                                      04 4
                                                304
                        253
                              53 (
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     54)
202
                                                      69 OP
                                                305
                                             305
306
307
308
309
                        254
     65 x
203
                                                      04 04
                              43 RCL
                       255
     43 RCL
204
                                                       53 (
     01 01
                       256 02 02
205
                                                      53 (
                              65 x
                       257
206
     54)
                                                      43 RCL
                             53 (
                        258
207
     55 ÷
                                                      02 02
                                                 310
                        259
                             53 (
     43 RCL
208
                                                 311
312
313
314
315
                                                       65 x
                       260 01 1
209
     02 02
                     260
261
262
263
264
265
266
267
268
269
270
271
                                                       53 (
                              85 +
210
     54)
                                                       53 (
                              53 (
211
     22 INV
                                                      01 1
                              43 RCL
212
     38 SIN
                              06 06
39 COS
54 )
54 )
                                                      85 +
213
     54 )
                                                 316 53 (
     42 STO
214
                                                 317 43 RCL
     06 06
215
                                                 318 07 07
     69 OP
216
                              45 y<sup>X</sup>
43 RCL
                                                       39 COS
                                                 319
     06 06
217
                                                 320
                                                       54 )
     25 CLR
218
                                                       54 )
45 y
                              03 03
                                                 321
     69 OP
219
                        271
                              54 )
                                                 322
     00 00
220
                                                       43 RCL
                              54)
                                                 323
                         272
     03 3
03 3
 221
                                                       03 03
                                                 324
                         273
                              55 ÷
 222
                              53 (
                                                 325
                                                       54)
                         274
      02 2
 223
                                                 326
                                                       54 )
                              43 RCL
                         275
      03 3
 224
                                                 327
                                                       55 ÷
      01 1
                         276
                              01 01
 225
                                                  328
                                                       53 (
                         277
                              65 x
     04 4
 226
                                                  329
                                                       43 RCL
                              53 (
                         278
     69 OP
 227
                              53 (
                                                  330
                                                       01 01
                         279
 228
     04 04
                         280
                              43 RCL
                                                  331
                                                        65 x
 229
     53 (
                              06 06
                                                  332
                                                        53 (
                         281
 230
     01 1
                                                        53 (
                                                  333
                         282
                              38 SIN
     08 8
 231
                                                        43 RCL
                              54 )
45 y
                                                  334
                         283
     00 0
 232
                                                        07 07
                                                  335
                         284
 233
      75 -
                              53 (
43 RCL
                                                        38 SIN
                                                  336
                         285
      43 RCL
 234
                                                        54 )
45 y<sup>x</sup>
                                                  337
                          286
      06 06
 235
                                                  338
                          287
                               03 03
 236
      54)
                                                        53 (
                                                  339
                          288
                               75 -
      42 STO
 237
                                                  340
                                                        43 RCL
                               02 2
                          289
 238
      07 07
                                                  341
                                                        03 03
                          290
                               54)
 239
      69 OP
```

## C. PART II PROGRAM

Given K from Part I, Part II program performs the probe calculations of the corresponding initial approach angles  $(\phi_{O})_{A}, (\phi_{O})_{B}, (\phi_{O})^{*}, \text{ and } (\phi_{O})_{NL} \text{ based on the relationship}$ 

$$f(\phi_{0}) = K_{A,B,*,NL}[\sin(\phi_{0})_{A,B,*,NL}]^{P-1}$$
$$-r_{0}[(1+(\cos\phi_{0})_{A,B,*,NL})^{P}] = 0$$

The program used a fixed torpedo speed of  $V_T = 35$  kts (18 m/s) and an initial detection range of  $r_O = R_D = 250$  m. The purpose of this general probe program is to locate roots of the given function  $y = f(\phi_O)$  to evaluate the slope of the tangent line, and to find the maximum and minimum points on a graph. We will only use the first feature.

The program requires a subroutine for the function to be investigated. This subroutine starts at program location 140 and is located at label 2nd C. The user's instructions for the program are as follows:

Procedure	Enter	Press	Display
Enter data	Starting Value of $\phi$	2nd D	Фо
Enter data	The increment $\Delta \phi_{\mathbf{O}}$	2nd E	$^{\Delta \phi}$ o
Calculate $f(\phi_0 + \Delta\phi_0)$		A	f(φ <sub>0</sub> +Δφ <sub>0</sub> )
Calculate $f(\phi_0^{-\Delta\phi_0})$		В	f(φ <sub>0</sub> -Δφ <sub>0</sub> )
Display current value of $\phi_{O}$	<b></b>	E	фо

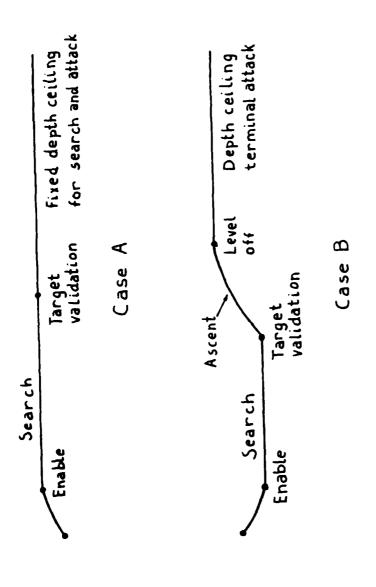
If the value of  $\Delta\phi_{\rm O}$  is chosen too large,  $\Delta\phi_{\rm O}$  may be replaced by  $\Delta\phi_{\rm O}/10$  by pressing label 2nd A. Similarly, if a larger value of  $\Delta\phi_{\rm O}$  is required,  $\Delta\phi_{\rm O}$  can be replaced by  $10\Delta\phi_{\rm O}$  by pressing label 2nd B. A listing of the program steps follows, giving location (LOC), code (COD), key symbol (KEY), and comments.

Associated with the subroutine, it should be noted that the value of  $K,r_O$  and p are entered separately in the memory locations 10, 11, and 12, respectively.

```
000
     76 LBL
                      051
                            42 STO
                                               102
                                                     75 -
     19 D'
001
                       052
                             03 03
                                               103
                                                     43 RCL
002
     42 STO
                       053
                             53 (
                                               104
                                                     04 04
003
                       054
                             43 RCL
     01 01
                                               105
                                                     54)
004
     91 R/S
                       055
                             01 01
                                               106
                                                     55 ÷
005
     76 LBL
                       056
                             75 -
                                               107
                                                     43 RCL
                     057
058
                                               108
006
     10 E'
                             43 RCL
                                                     02 02
                                               109
007
     42 STO
                             02 02
                                                     54)
008
    02 02
                       059
                             55 ÷
                                               110
                                                     91 R/S
     91 R/S
                            02 2
009
                       060
                                               111
                                                     76 LBL
                             54 )
71 SBR
     76 LBL
010
                       061
                                               112
                                                     15 E
011
     11 A
                       062
                                               113
                                                     43 RCL
                                                     01 01
012
    53 (
                       063
                             18 C'
                                               114
                            42 STO
013
     43 RCL
                       064
                                               115
                                                     91 R/S
                                                     76 LBL
16 A'
     01 01
014
                        065
                             04 04
                                               116
                             53 (
53 (
     85 +
                        066
015
                                                117
     43 RCL
016
                       067
                                                118
                                                     53 (
     02 02
                       068
                             43 RCL
017
                                                119
                                                     43 RCL
                                                120
018
     54)
                       069
                             03 03
                                                     02 02
                                                121
122
019
     42 STO
                       070
                             75 -
                                                     55 ÷
     01 01
020
                       071
                            43 RCL
                                                     01 1
                       072
                                               123
021
     71 SBR
                            04 04
                                                     00 0
     18 C'
022
                        073
                                               124
                             54)
                                                     54)
023
     91 R/S
                        074
                                               125
                             55 ÷
                                                     42 STO
                                                     02 02
024
    76 LBL
                        075
                             43 RCL
                                               126
                                                     91 R/S
025
    12 B
                        076
                             02 02
                                               127
026
                        077
                                               128
     53 (
                             54)
                                                     76 LBL
                        078
027
     43 RCL
                             91 R/S
                                               129
                                                     17 B'
    01 01
028
                        079
                             76 LBL
                                               130
                                                     53 (
029
                            14 D
     75 -
                        080
                                               131
                                                     43 RCL
030
                        081
     43 RCL
                            53 (
                                               132
                                                     02 02
031
     02 02
                        082
                            43 RCL
                                               133
                                                     65 x
032
                        083
                            01 01
                                                134
                                                     01 1
     54)
033
     42 STO
                        084
                            85 +
                                                135
                                                     00 0
     01 01
034
                        085
                             43 RCL
                                                136
                                                     54)
     71 SBR
                                                     42 STO
035
                        086
                             02 02
                                                137
     18 C'
91 R/S
036
                        087
                             54)
                                                138
                                                     02 02
                        088
037
                             71 SBR
                                                139
                                                     91 R/S
                        089
                             18 C'
038
     76 LBL
                                                140
                                                     76 LBL
                             42 STO
039
                        090
     13 C
                                                141
                                                     18 C'
                                                     42 STO
040
     53 (
                        091
                             03 03
                                                142
     43 RCL
                             43 RCL
                                                     00 00
041
                        092
                                                143
042
                        093
     01 01
                             01 01
                                                144
                                                     70 RAD
043
                        094
                             71 SBR
     85 +
                                                145
                                                     53 (
044
                       095
                             18 C'
                                                146
     43 RCL
                                                     53 (
045
                       096
                             42 STO
                                                147
                                                     43 RCL
     02 02
046
047
     55 ÷
                        097
                             04 04
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                                                     10 10
     02 2
                        098
                             53 (
                                                149
                                                     65 x
048
     54)
                        099
                             53 (
                                                150
                                                     53 (
                        100
                             43 RCL
049
     71 SBR
                                                151
                                                      53 (
050
                                                152
     18 C'
                        101
                             43 RCL
                                                     43 RCL
```

```
00 00
153
154
155
       38 SIN
       54 )
45 y<sup>x</sup>
43 RCL
156
157
158
       13 13
159
       54)
       54)
160
       75 -
53 (
161
162
163
164
165
       43 RCL
       11 11
       65 x
53 (
53 (
166
167
        01 1
168
169
        85 +
        53 (
170
171
        43 RCL
        00 00
172
       39 COS
54 )
54 )
45 y<sup>x</sup>
43 RCL
173
174
175
176
177
178
        12 12
179
        54 )
180
        54)
181
        54)
182
        92 RTN
```

APPENDIX F



ig. 1. Torpedo Search and Attack Geometry.

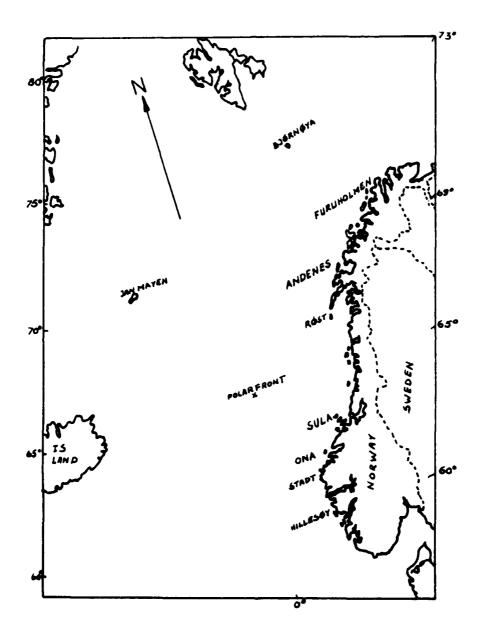


Fig. 2. Location of Weather Stations Along the Norwegian Coast.

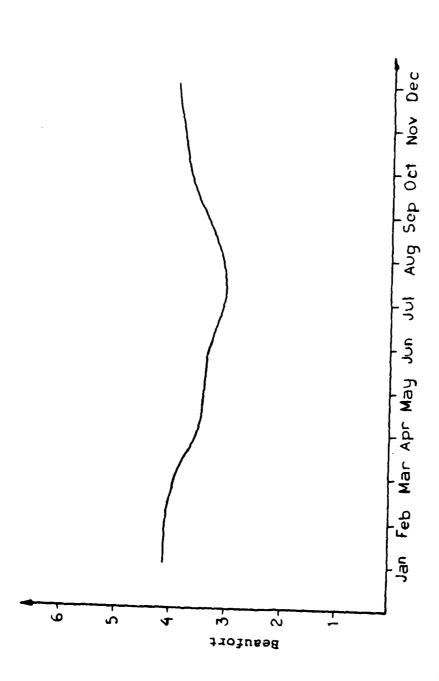


Fig. 3. Average Monthly Wind Speed in Beaufort from the Weather Station "Andenes."

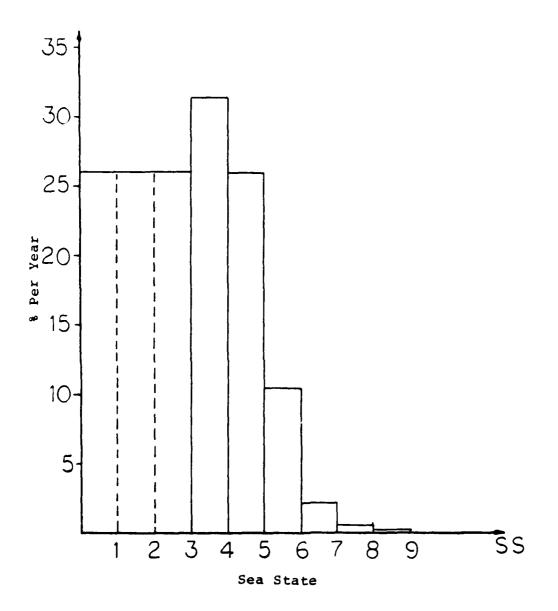


Fig. 4. Histogram of Significant Wave in Percent per Year from the Weather Station "Andenes."

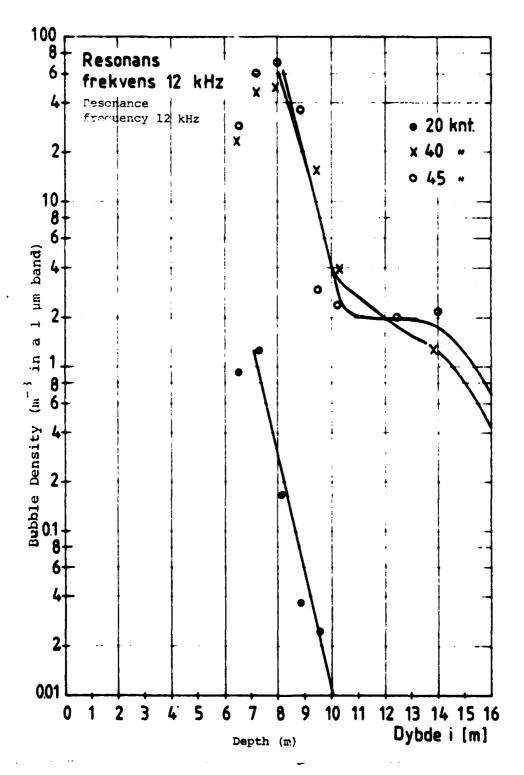


Fig. 5. Resonant Bubble Density at 12 kHz as a Function of Depth.

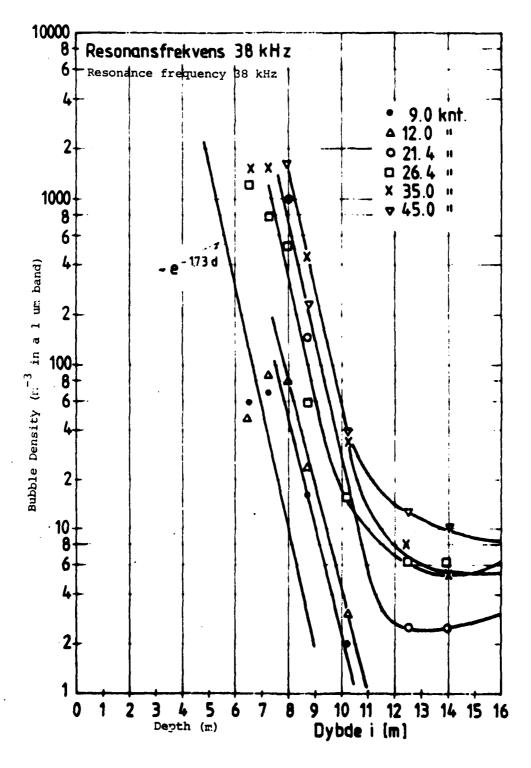


Fig. 6. Resonant Bubble Density at 38 kHz as a Function of Depth.

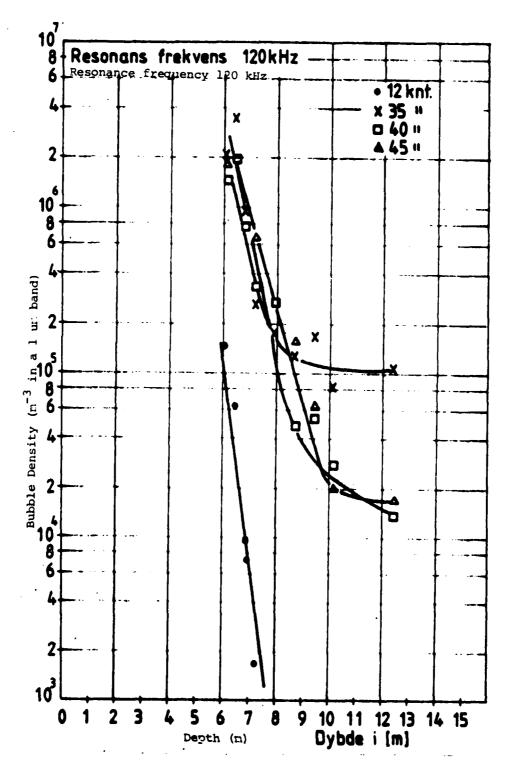


Fig. 7. Resonant Bubble Density at 120 kHz as a Function of Depth.

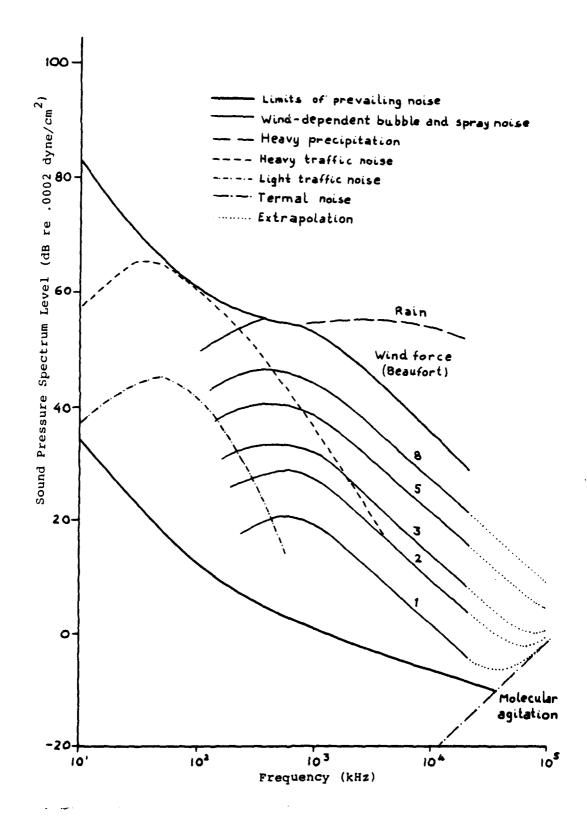


Fig. 8. Ambient Noise Level Curves.

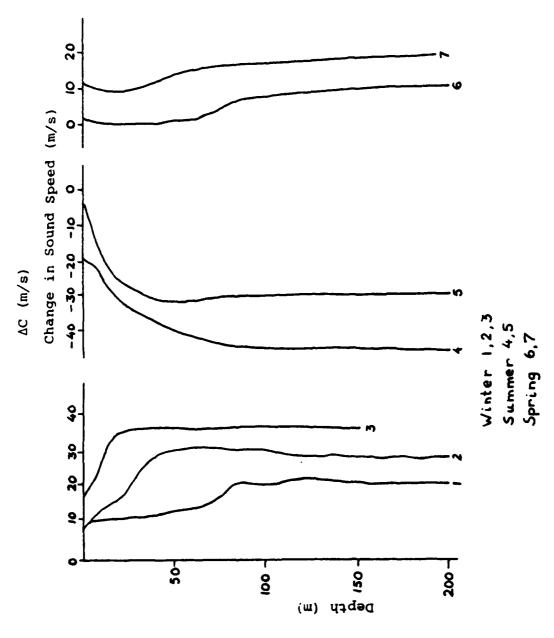


Fig. 9. Typical Sound Speed Profiles in Norwegian Coastal Waters.

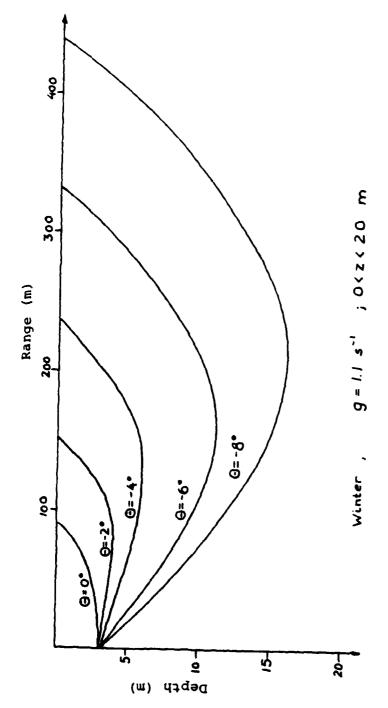


Fig. 10. Worst Case Ray Path During Winter.

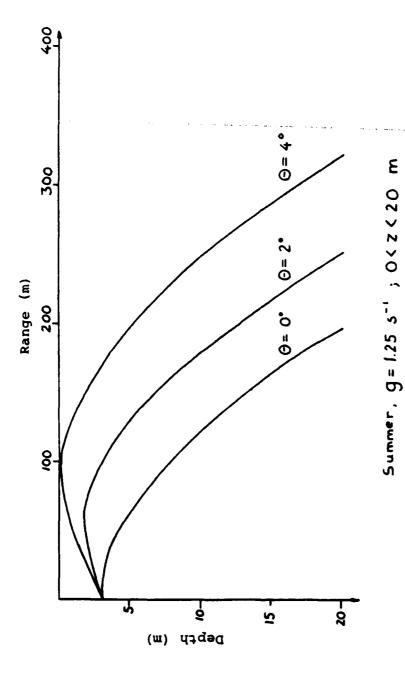


Fig. 11. Worst Case Ray Path During Summer.

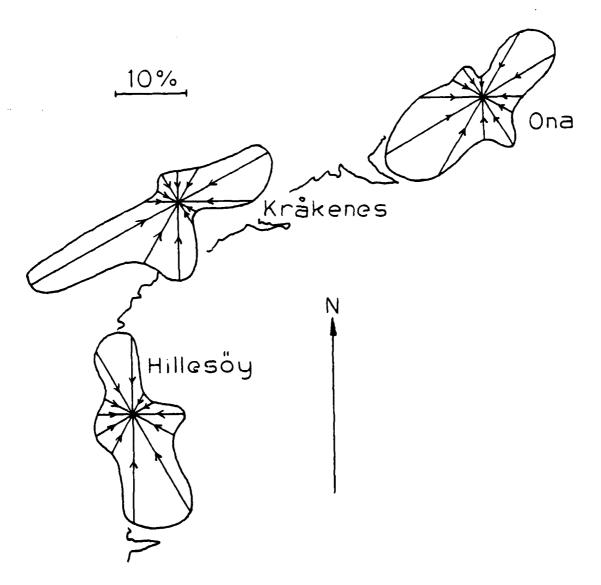


Fig. 12. Frequencies of Wind Directions in Percent for Stations Along the Coast from "Hillesöy" to "Ona."

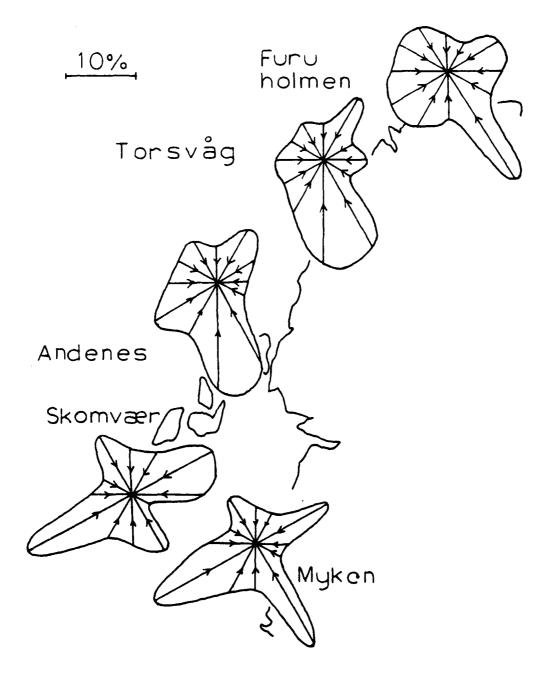


Fig. 13. Frequencies of Wind Directions in Percent for Stations Along the Coast from "Myken" to "Furuholmen."

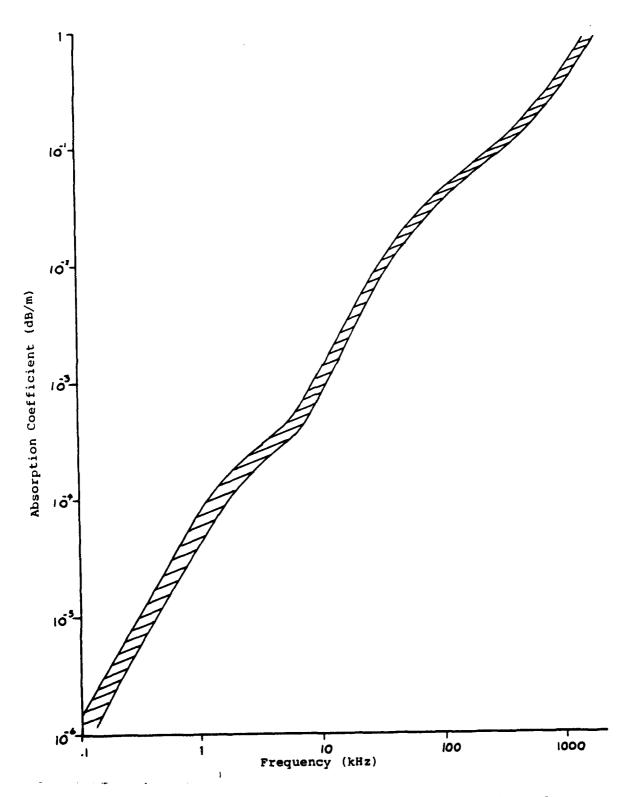


Fig. 14. Absorption Coefficient in dB/m as a Function of Frequency.

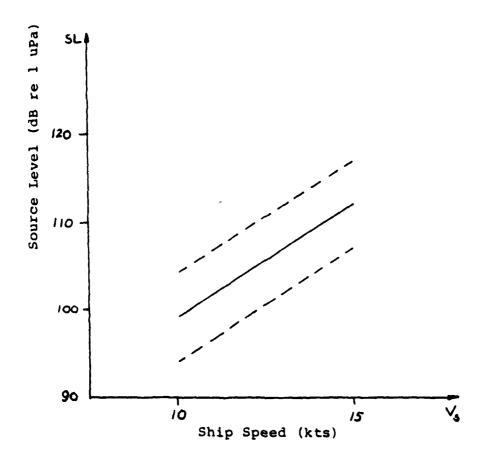


Fig. 15. Average Radiated Spectrum Level for Surface Ship as a Function of Speed in kts.

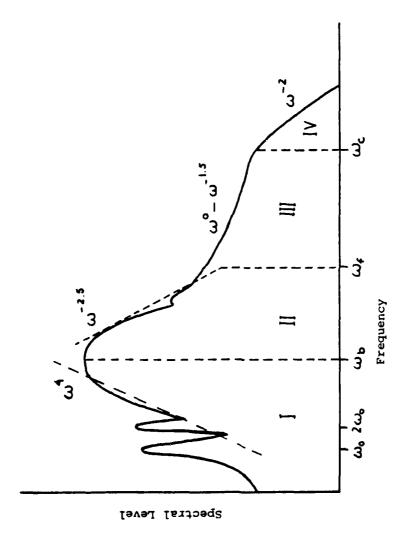


Fig. 16. General Noise Spectrum for a Cavitating Propeller.

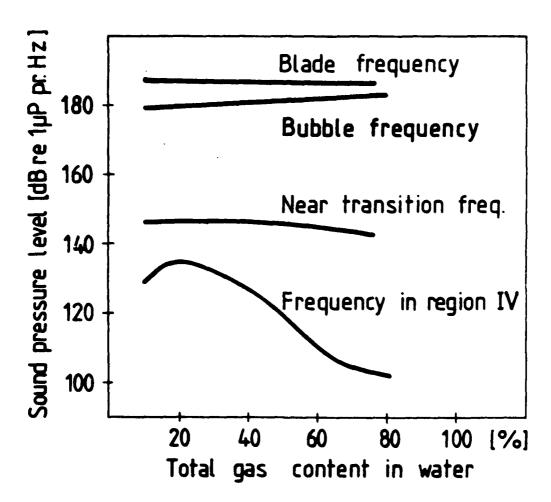


Fig. 17. Spectrum Level as a Function of Total Gas Content

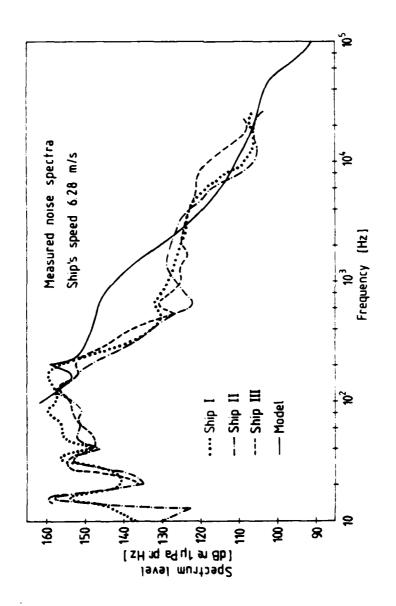


Fig. 18. Measured Model and Full Scale Noise Spectra.

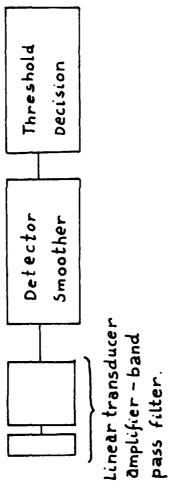


Fig. 19. Square Law Detector Scheme.

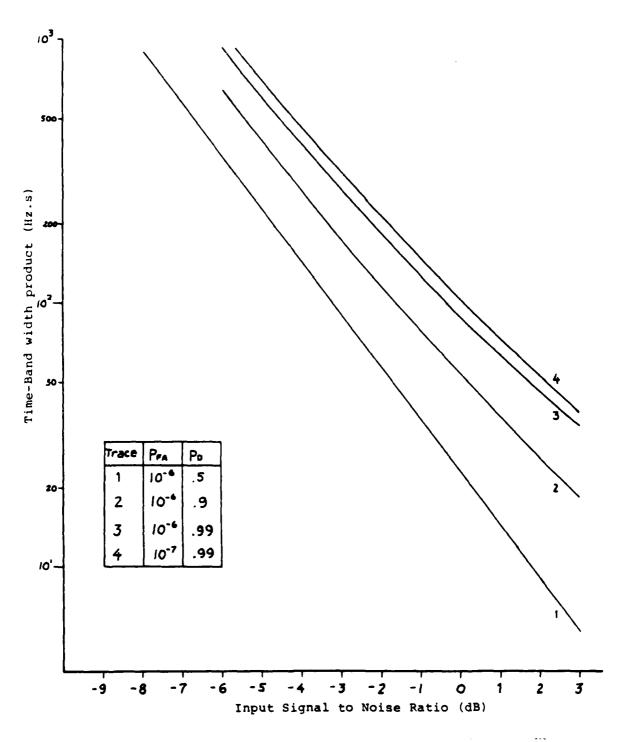


Fig. 20. Required Input S/N Ratio and BT Product for Various Operating Probabilities.

Trace	Threshold above NL	PFA
1	.88	10-6
2	1.0	2-10-8
3	1.5	1=10-18
4	2.0	1=10-35
5	2.5	1= 10" 24
6_	3.0	1 = 10 98

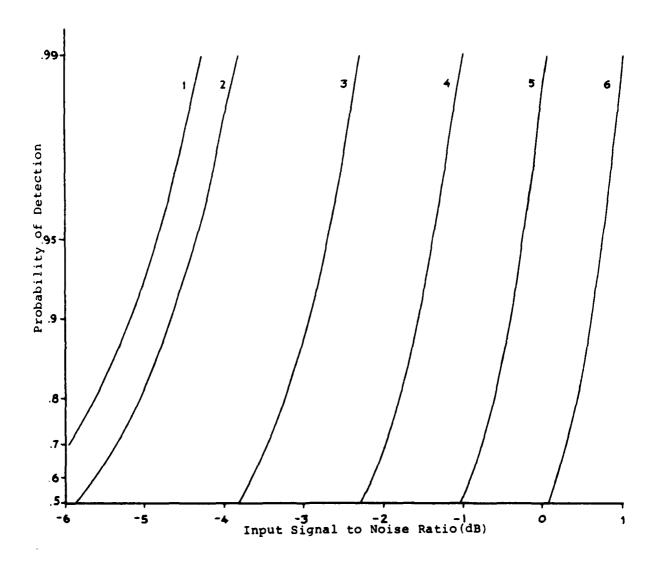


Fig. 21. Probability of Detection Versus Input S/N Ratio for Various Thresholds.

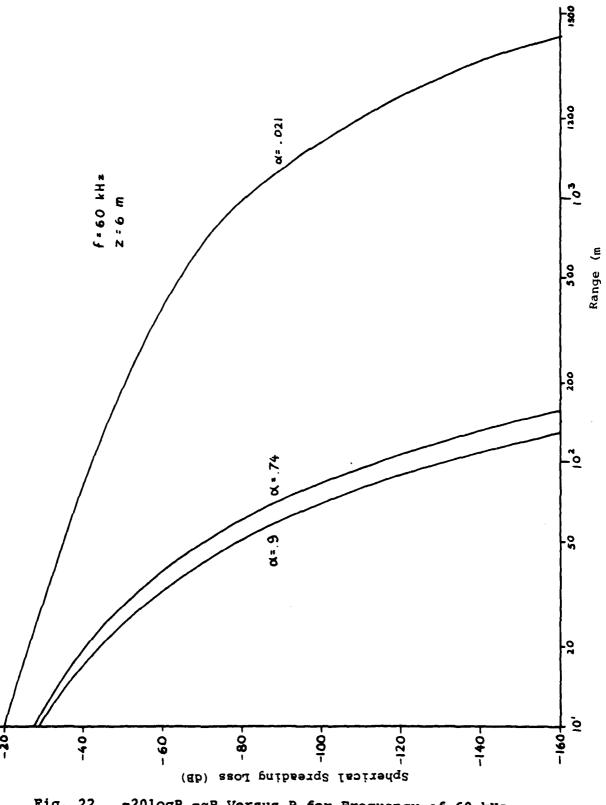


Fig. 22.  $-20\log R$   $-\alpha R$  Versus R for Frequency of 60 kHz.

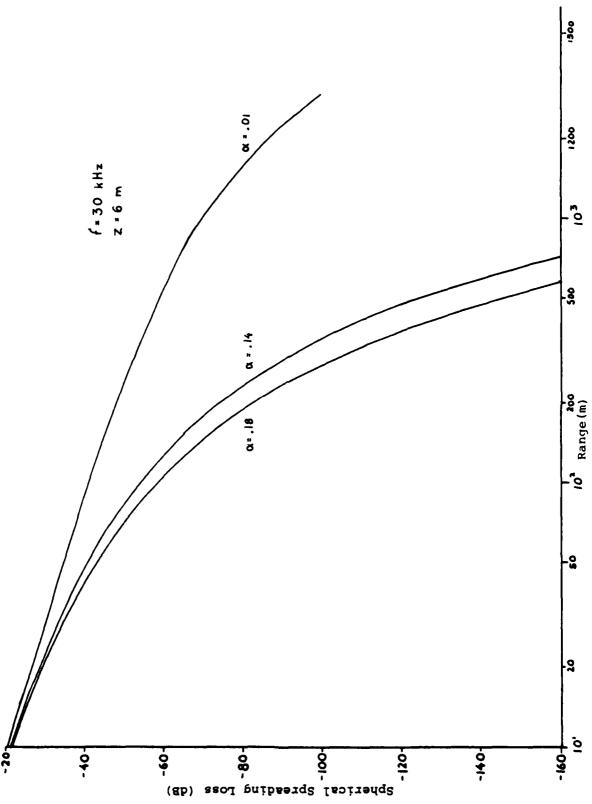


Fig. 23.  $-20\log R$   $-\alpha R$  Versus R for a Frequency of 30 kHz.



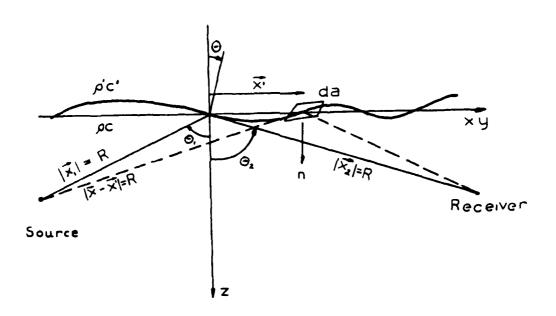


Fig. 24a. Geometry at the Sea Surface Scattering.

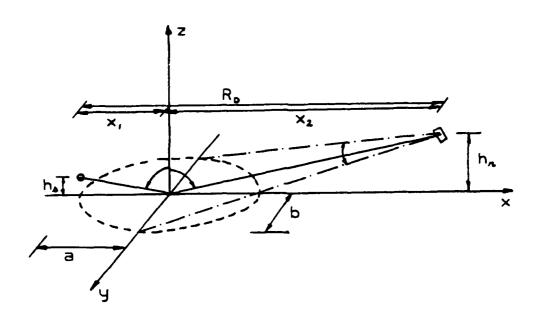


Fig. 24b. Specular Scattering Geometry.

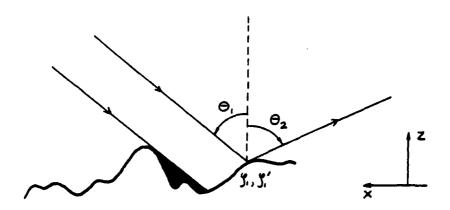


Fig. 25. Rough Surface Shadowing Geometry.

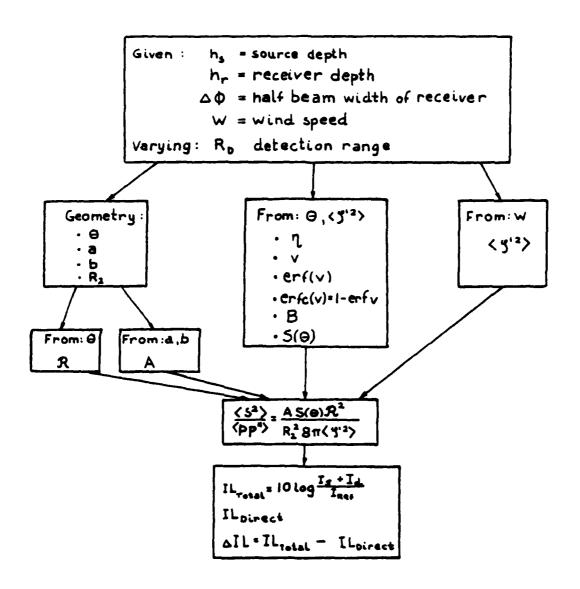
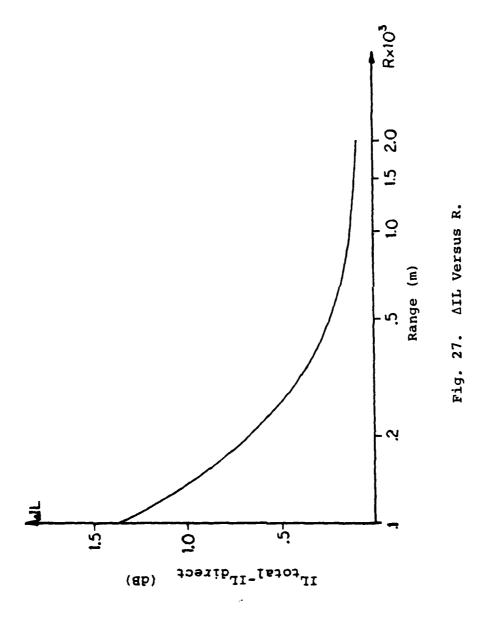


Fig. 26. Calculation Scheme of the Surface Scattering Effect.

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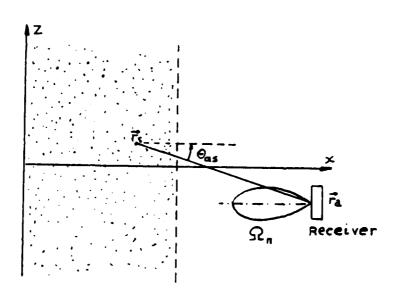


Fig. 28. Geometry of the Subsurface Propagation Model.

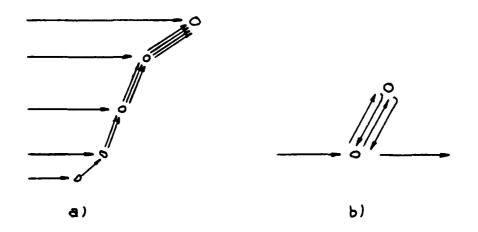


Fig. 29. a) Chains of Successive Scattering.

b) Scattering Pattern Going Through the Same Scatterer More than Once.

Fig. 30. Scattering Pattern.

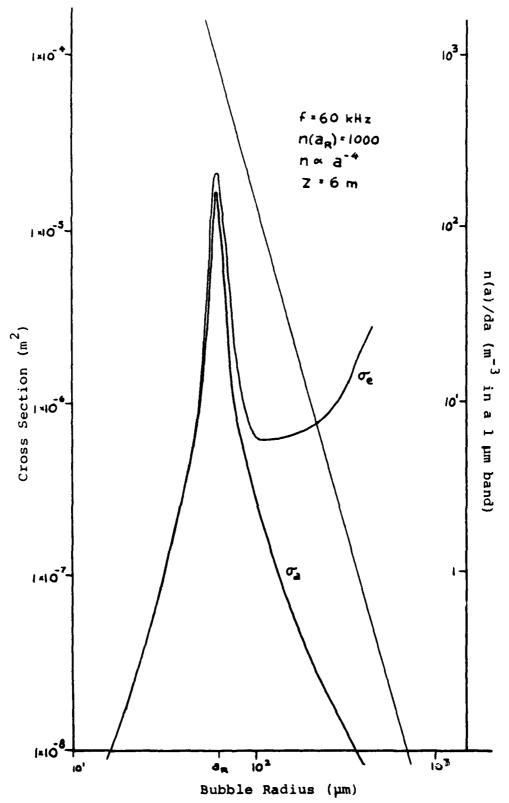


Fig. 31.  $\sigma_e$  and  $\sigma_a$  for 60 kHz.

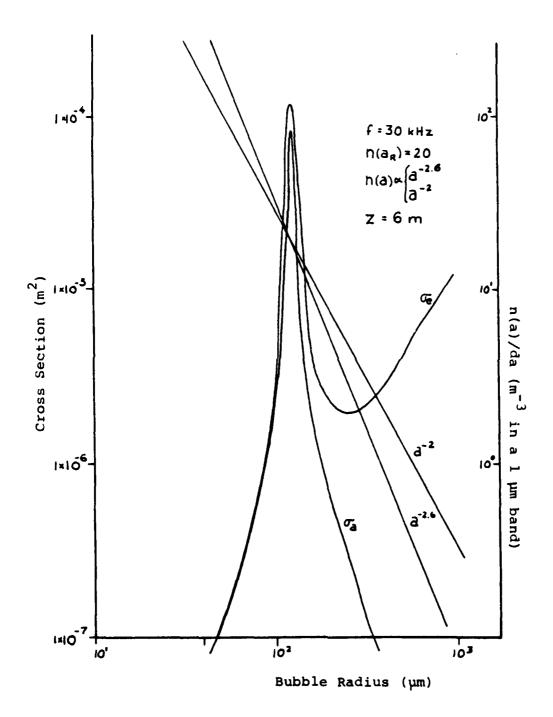


Fig. 32.  $\sigma_e$  and  $\sigma_a$  for 30 kHz.

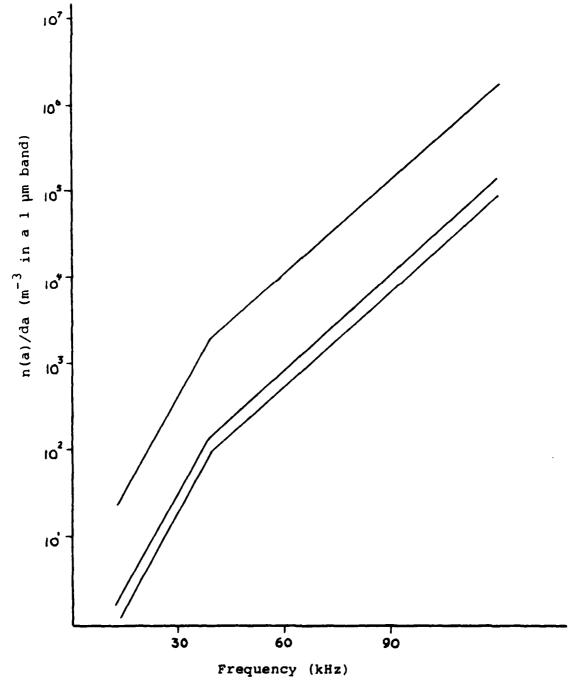


Fig. 33. Interpolated Bubble Data.
165

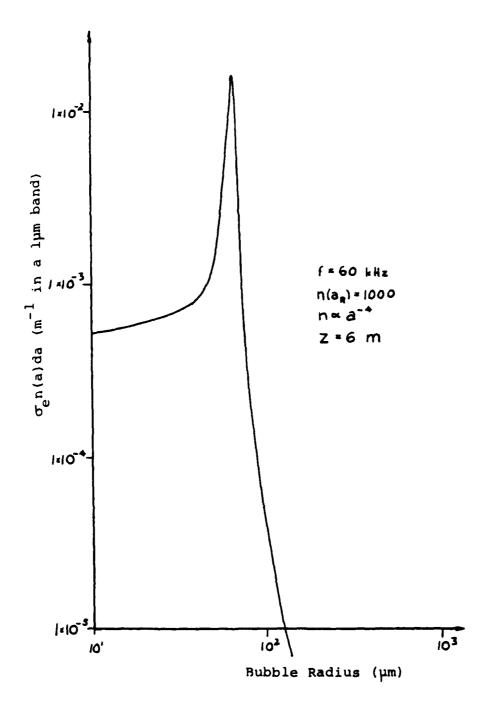


Fig. 34.  $\sigma_e(a) n(a) da$  for 60 kHz.

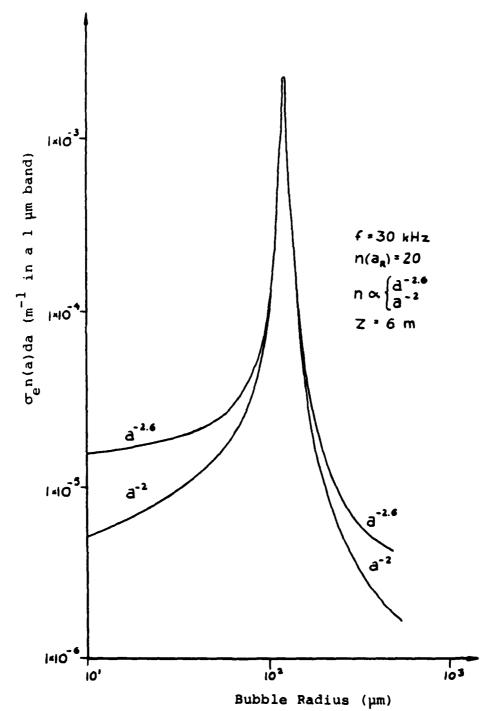


Fig. 35.  $\sigma_e(a) n(a) da$  for 30 kHz.

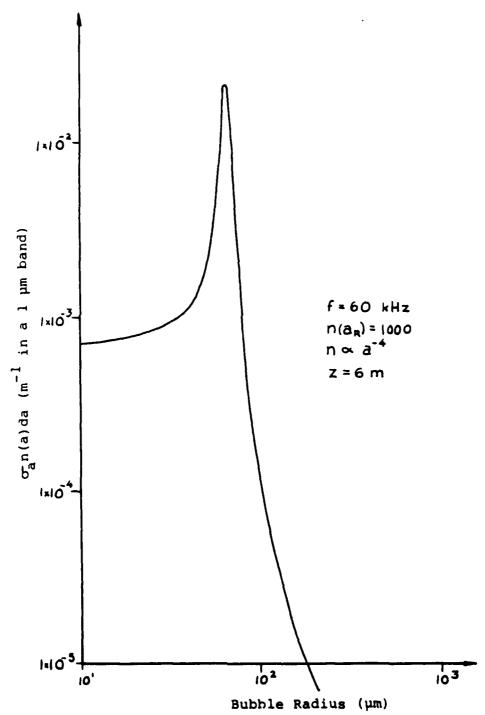


Fig. 36.  $\sigma_a(a)n(a)da$  for 60 kHz.

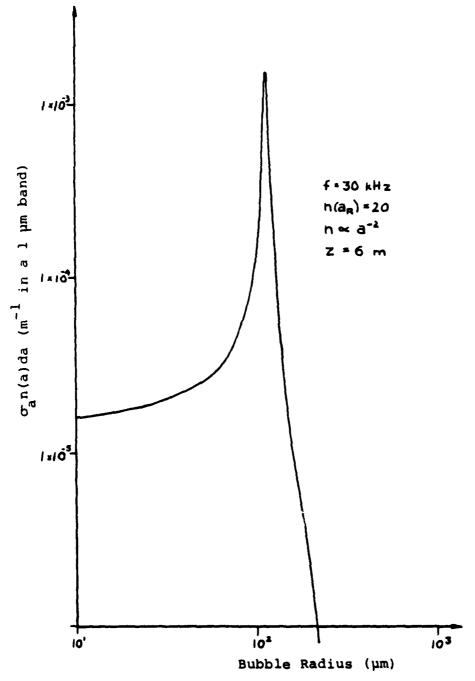


Fig. 37.  $\sigma_a(a)n(a)da$  for 30 kHz.

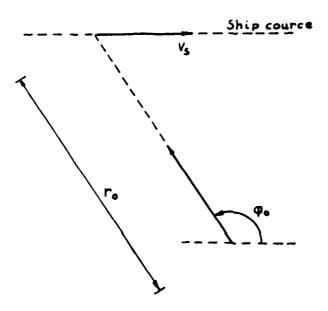
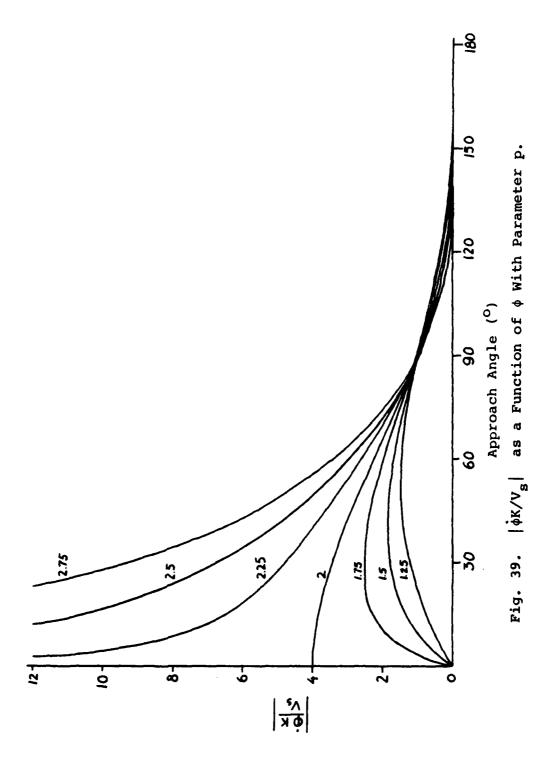


Fig. 38. Pursuit Homing Geometry.



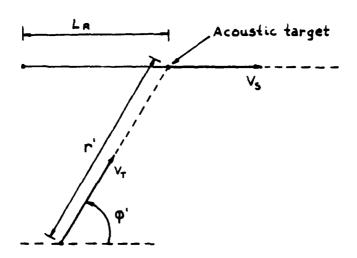


Fig. 40. Hit Criterion Geometry.

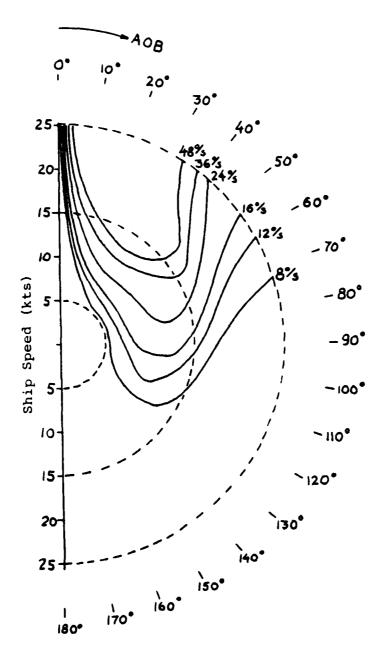


Fig. 41. AOB-limitation Versus Ship Speed with Parameter Maximum Turn Rate.

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